Kentucky

PDMP acronym:	KASPER	Region	South
Agency Responsible:	Kentucky Cabinet for Health and Family Services		
Agency Type:	Office of Inspector General		

PDMP Web Resources/Links

PDMP Website:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Pages/kasper.aspx
PDMP Email:	eKASPER.admin@ky.gov
PDMP Register Website:	https://chfs.ky.gov/agencies/os/oig/dai/deppb/Pages/kasper.aspx
User Account Manual:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Documents/KASPERPrescriberReportCardUserGuide.pdf
PDMP Query Website:	https://kog.chfs.ky.gov/home/
PDMP Data Upload Website:	
Data Upload Manual:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Documents/KASPERControlledSubstanceReportingGuide.pdf
Statute/Regulation Website:	https://apps.legislature.ky.gov/law/statutes/statute.aspx?id=54048; https://apps.legislature.ky.gov/law/kar/titles/902/055/110/
Opioid Guidelines Website:	KRS 218A.205
PDMP FAQs:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Documents/KASPERKOGFrequentlyAskedQuestions.pdf
Integration Guidance:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Pages/kasper.aspx#Integration
PDMP Statistics Website:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Pages/kasper.aspx#Documentation
Opioid Dashboard Website:	http://www.mc.uky.edu/kiprc/programs/kdopp/county-profiles.html
Training Website:	https://www.chfs.ky.gov/agencies/os/oig/dai/deppb/Pages/kasper.aspx#Guides

PDMP Contact Information

Contact Name:	Harris, Susan - Director, Audits and Investigations	Contact Name:	Rose, Misty - KASPER Program Administrator
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City State Zip:	Frankfort KY 40621	City State Zip:	Frankfort KY 40601
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Fax:	5025647876	Fax:	5025647876
Email:	susank.harris@ky.gov	Email:	mrose@ky.gov

State Population and Number of Registrants

State population: 4,540,745   DEA Prescriber total: 24,983   DEA Dispenser total: 1,211

Data Transmitter(s)

Dispensing Practitioner

Pharmacy (In-State)

Pharmacy (Mail Order In-State)

Pharmacy (Mail Order Out of State)

Pharmacy (Other Out of State)

Veterans Administration

Substances Monitored

Drugs of Concern

Schedules II - V

Alternate Data Sources

Drug-related Convictions

Drug-related Convictions

Overdoses - Non-fatal

Overdoses - Non-fatal

Substance Use Disorder Treatment Options

Substance Use Disorder Treatment Options

Available Reports

Data Dashboards

Dispenser Reports to Law Enforcement

Drug Trend Reports

Licensee Reports to Licensing Board

MME Calculations

Overdose Reports

Patient Reports to Dispensers

Patient Reports to Law Enforcement

Patient Reports to Prescribers

Patient Reports with Summary Data

PDMP Evaluation Reports

Peer Comparison Reports

Prescriber Report Cards

Prescriber Reports to Law Enforcement

Prescription Drug Combinations

Registrant Reports to Prescribers

Statewide Statistics

Statistical Capabilities

- ☑ # of Prescription Filled
- ☑ # of Prescriptions Filled by CS Schedule
- ☑ # of Prescriptions Filled by Drug Class
- ☑ Statistics Filtered by Age or Age Range
- ☐ Statistics Filtered by Ethnicity or Race

- ☑ # of Dosage Units Dispensed
- ☑ # of Dosage Units Dispensed by CS Schedule
- ☑ # of Dosage Units Dispensed by Drug Class
- ☐ Statistics Filtered by Gender Identification
- ☑ Statistics Filtered by Geographic Location

- ☑ # of Authorized PDMP Users Enrolled
- ☑ # of Registrants in SDTC
- ☑ # of Registrants in SDTC by Practice/License Typ

- ☑ # of Prescribers Enrolled in PDMP by License Type
- ☐ # of Dispensers Enrolled in PDMP by License Type
- ☑ # of Prescribers Enrolled in PDMP by Specialty
- ☑ # of Unique Prescribers

- ☑ # of Data Errors by Error Type
- ☑ # of Data Errors Corrected
- ☑ # of At-Risk Patients by Risk Factor
- ☑ Risk Factor Statistics by Time Frame

- ☑ # of In-State Queries
- ☑ # of In-State Queries by Requestor Type
- ☑ # of Interstate Queries
- ☑ # of Interstate Queries by Requestor Type
- ☐ # of Positive Matches from Interstate Queries

- ☑ # of Solicited Prescriber Reports
- ☑ # of Solicited Prescriber Reports by Requestor Type
- ☐ # of Unsolicited Prescriber Reports
- ☐ # of Unsolicited Prescriber Reports by Recipient Type
- ☑ # of Solicited Dispenser Reports
- ☑ # of Solicited Dispenser Reports by Requestor Type
- ☐ # of Unsolicited Dispenser Reports
- ☐ # of Unsolicited Dispenser Reports by Recipient Type
- ☑ # of Solicited Patient Reports
- ☑ # of Solicited Patient Reports by Requestor Type
- ☐ # of Unsolicited Patient Reports
- ☐ # of Unsolicited Patient Reports by Recipient Type

- ☐ # of Solicited Statistical Reports by Requestor Typ
- ☐ # of Unsolicited Statistical Reports by Recipient Type
- ☑ # of Unique Requestors for Solicited Reports
- ☑ # of Unique Requestors by Requestor Type (sol. rep
- ☐ # of Unique Requestors for Unsolicited Rep
- ☑ # of Unique Recipients by Recipient Type (unsol. rep

% Out-of-State Patien   <5%
% Out-of-State Prescribe >10%

Data Analysis Resources Available:
Data Analyst; Epidemiologist; University Researcher;

Authorized PDMP Users

Correctional Supervision/Solicited In-State

Dispenser Delegates - Licensed/Solicited In-State

Dispenser Delegates - Licensed/Solicited Out-of-State

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Drug Courts/Solicited In-State

Healthcare Facilities and Institutions/Solicited In-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Medicaid Drug Utilization and Review/Solicited In-State

Medicaid Drug Utilization and Review/Solicited Out-of-State

Medicaid Fraud and Abuse/Solicited In-State

Medicaid Fraud and Abuse/Solicited Out-of-State

Medical Examiners and Coroners/Solicited In-State

Medical Residents/Solicited In-State

Medical Residents/Solicited Out-of-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Physician Assistants/Solicited In-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prosecutors/Solicited In-State

Regulatory and Licensing Boards/Solicited In-State

Regulatory and Licensing Boards/Solicited Out-of-State

Regulatory and Licensing Boards/Unsolicited In-State

Researchers/Solicited In-State

Researchers/Solicited Out-of-State

Telemedicine Prescribers/Solicited in-State

Engaged PDMP Users

Correctional Supervision/Solicited In-State

Dispenser Delegates - Licensed/Solicited In-State

Dispenser Delegates - Licensed/Solicited Out-of-State

Dispenser Delegates - Unlicensed/Solicited In-State

Dispenser Delegates - Unlicensed/Solicited Out-of-State

Dispensers - Pharmacists/Solicited In-State

Dispensers - Pharmacists/Solicited Out-of-State

Drug Courts/Solicited In-State

Law Enforcement - Federal/Solicited In-State

Law Enforcement - Federal/Solicited Out-of-State

Law Enforcement - Local/Solicited In-State

Law Enforcement - Local/Solicited Out-of-State

Law Enforcement - State/Solicited In-State

Law Enforcement - State/Solicited Out-of-State

Medicaid Drug Utilization and Review/Solicited In-State

Medicaid Fraud and Abuse/Solicited In-State

Medical Examiners and Coroners/Solicited In-State

Medical Residents/Solicited In-State

Nurse Practitioners/Solicited In-State

Nurse Practitioners/Solicited Out-of-State

Physician Assistants/Solicited In-State

Prescriber Delegates - Licensed/Solicited In-State

Prescriber Delegates - Licensed/Solicited Out-of-State

Prescriber Delegates - Unlicensed/Solicited In-State

Prescriber Delegates - Unlicensed/Solicited Out-of-State

Prescribers/Solicited In-State

Prescribers/Solicited Out-of-State

Prosecutors/Solicited In-State

Regulatory and Licensing Boards/Solicited In-State

Regulatory and Licensing Boards/Solicited Out-of-State

Regulatory and Licensing Boards/Unsolicited In-State

Researchers/Solicited In-State

Researchers/Solicited Out-of-State

Telemedicine Prescribers/Solicited in-State

Budget

Total Annual Budget: **PDMP Staff:**   31

# of Employees - Operational   15   # of Employees - Other: 0

# of Employees - Technical: 15

# of Employees - Analytical: 1

Funding Source(s)

BJA Grant   CDC Grant
State General Fund

Technologies

Data Collection Entity: In-House   ☑ State HIE in place
Data Collection Vendor name   In-House   ☑ RxCheck Integration Allowed
Data Storage Entity: In-House   ☑ VHA VISTA integration
Data Storage Vendor name   In-House   ☑ HL7 FHIR connectivity
Report Generation Entity: In-House   ☐ Delegate access via integration
Report Generation Vendor name In-House   ☐ SMART on FHIR apps
Data Access Method: Web Portal/On-Line   ASAP Version Utilized: 4.2B
Data Access Entity: In-House
Data Access Vendor name   In-House

Patient Matching

☑ Referential Matching   ☐ Exact Matching
☑ Deterministic Matching   ☑ Probablistic Matching
☑ Manual Matching   ☐ Probablistic and Manual Matching
☐ Other Matching

Manual review process for approximately 3-4%

☑   Access to patient matching algorithms

Patient Matching Metrics Available: True positive matches
Patient matching data elements: Patient identification (i.e., driver license, social security number), Patient last name, Patient first name, Patient address, Patient date of birth

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Integration(s) Available

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
EHR Integration	25-49%	RxCheck and PMPi				

☐ Data Downloaded/Stored   ☐ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data ☑ Multistate Integrations Allowed

Integration Standards

Alternate data available: non-fatal overdoses; drug-related convictions; ACME (Active Cumulative Morphine Equivalent) being over 90; Overlapping medications

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
HIE Integration	50-74%	RxCheck and PMPi			Unknown	

☑ Data Downloaded/Stored ☑ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data Integration Standards ☑ Multistate Integrations Allowed

Alternate data available:

Integration Type	% Providers	Hub Used	Paid by Fed Gov't	Paid by State Gov't	Paid by Facility	Paid by Provider
PDS Integration	50-74%	RxCheck and PMPi				

☑ Data Downloaded/Stored ☑ Data Manipulated for Analysis

☑ Data Incorporated with Interstate Data Integration Standards ☑ Multistate Integrations Allowed

Alternate data available:

Interstate Data Sharing Partner(s)

Alabama	PMPi Hub
Arizona	PMPi Hub
Arkansas	PMPi Hub
Colorado	RxCheck Hub
Connecticut	PMPi Hub
Delaware	PMPi Hub
District of Columbia	PMPi Hub
Florida	RxCheck Hub
Georgia	PMPi Hub
Idaho	PMPi Hub
Illinois	RxCheck Hub
Indiana	PMPi Hub
Iowa	PMPi Hub
Kansas	PMPi Hub
Louisiana	PMPi Hub
Maine	PMPi Hub
Maryland	RxCheck Hub
Massachusetts	RxCheck Hub
Michigan	PMPi Hub
Minnesota	PMPi Hub
Mississippi	PMPi Hub
Nebraska	RxCheck Hub
Nevada	PMPi Hub
New Jersey	PMPi Hub
New Mexico	PMPi Hub
New York	RxCheck Hub
North Carolina	PMPi Hub
North Dakota	PMPi Hub
Northern Mariana Islands	RxCheck Hub
Ohio	PMPi Hub
Oklahoma	PMPi Hub
Pennsylvania	RxCheck Hub
Rhode Island	PMPi Hub
South Carolina	PMPi Hub
South Dakota	PMPi Hub
Tennessee	PMPi Hub
Texas	PMPi Hub
Utah	RxCheck Hub
Vermont	RxCheck Hub
Virginia	PMPi Hub
Washington	RxCheck Hub
West Virginia	PMPi Hub
Wisconsin	RxCheck Hub
Wyoming	PMPi Hub

PDMP Policies

Enabling legislation enacted: 1998
PDMP operational: 1999
User access date (any method): 1999
User electronic access date: 2005
Date received electronic data: 1999
Interstate sharing start date: 2011

Data collection frequency: Daily or next busin
☐ Requirement for zero-reporting
Frequency of zero-reporting:

Law/Statute citation: KY Rev Statute §218A.202; §§218A.240; 218A.245; 218A.172; 218A.390; 218A.391

Regulation/Rule citation: KY Admin Regs 201 KAR 5:130, 201 KAR 8:540, 201 KAR 9:230, 201 KAR 9:240, 201KAR 9:260, 201 KAR 20:057, 201 KAR 25:090, 902 KAR 55:110, and 907 KAR 1:677

Dr Shopper law/statute: Kentucky Revised Statute 218A(140)
Pill Mill law/statute: KRS 218A.175
Pain Clinic law/statute: KRS 218A.175
Unauthorized Use or Disclosure: KY Rev Statute §218A.202(12)
Enacted 42 CFR Part 2: Enacted
42 CFR Part 2 Details: Our current law supports this. Regulations are being modified to support federal requirements.

☐ Required Notification to consumers
☑ Mandated Use of Advisory Group
☑ Payment method captured
☑ Ability to id prescriber specialty
☐ Patient consent required before PDMP data release
☐ Ability for users to set thresholds for alert notices
☐ Ability for users to do user-led alert notices
☑ Mandatory E-Prescribing (EPCS)
☑ Compliance Process for Enrollment Mandates
☑ Compliance Process for Query Mandate
☐ ICD-10 Codes Collected
☐ Deceased Patient Field
☑ Engaged in Academic Detailing
☐ Patient ID Required to be Presented to Dispense ID Types Reported: Patient

☑ Data Retention Policy
Data Retention Time: Indefinite
☐ All Information Purged
☐ Patient Information Purged
☐ Prescriber Information Purged
☐ Dispenser Information Purged
☐ Drug Information Purged
☐ HIPAA Covered Entity
☐ Identifiable Data to State Health Dept
☐ Identifiable Data to local Health Dept
☐ Certified as CMS Specialized Registry
☑ Qualified PDMP with CMS
☑ PDMP Disaster Recovery Plan

☑ Ability to de-id data
☑ Authority to release de-id data
De-identified data sharing conditions: MOU between Cabinet and research agency. Completion of Institutional Review Board if appropriate.

De-Identified Data Retention Details:
☐ Retain De-Identified Data

Record Retention Detai We do not permanently purge any prescription history data. Prescription history data is moved to offline storage after three years and maintained indefinitely. Report images are purged after two years.

☑ Patient Notification of Breach
☑ Written Notification of Breach
Patient Breach Notification Method: Mail
Patient Breach Notification Method Oth
E-prescribing required substan Controlled Substances
E-prescribing exemptions/waive

Enrollment and Accounts

☑ Superviser Review/audit of Delegate Account Number of Delegates allowed: not limited

☐ Auto Enroll with License Renewal or App

Enrollment Method:

Practitioner IDs for PDMP Account:

DEA controlled substance registration number; Licensing Board number;

2 Factor Authentication:

2 factor authentication not offered

Criteria for Dispensers to get account to upload data:

Criteria for Prescriber delegates to get account:

Criteria for Dispenser delegates to get account:

Criteria for PAs to get account:

Criteria for Nurse Practitioners to get account:

DEA registration number, professional license number, and home state driver's license number. Kentucky requires an application to be created via a web portal. A hardcopy of the application (and an account terms of use agreement) must then be printed, sig

Criteria for other users to get account:

Requirements for Patients to get PDMP Report:

Practitioners and pharmacists may provide the patient with a copy of their KASPER report. The report can also be placed in the patient's medical record, and is then subject to disclosure like any other component of the patient's medical record.

Requirements for others to get PDMP Report:

Criteria for Online Non-healthcare accounts:

Licensing/regulatory Board investigators, Law Enforcement investigators (federal, state, and local), Medical Examiner/coroners

Data for Epidemiological Purposes: Authority to Release

☑ Law Enforcement On-line access to PDMP   ☐ Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:

Fax request form for prescriber and dispenser information

Law Enforcement Access Requirements: Active Investigation

☐ PDMP users validated with DEA Registration Fil   ☐ PDMP Access without DEA Number

☐ PDMP users validated with NPI File   Validation Process for

☐ PDMP users validated with State Licensing Board users without DEA #:

Enrollment Mandates

Dispenser - Mandatory PDMP Enrollment

Prescriber - Mandatory PDMP Enrollment

Statute/Regulation Citation:

§ 218A.202
201 KAR 25:011
201 KAR 25:021
201 KAR 25:090
201 KAR 5:130
201 KAR 9:230
201 KAR 20:057. Section 6
(4)(b)
201 KAR 8:540.Section
3(2)(b)
201 KAR 25:090
201 KAR 9_067

Use Mandates

Prescriber - Mandatory PDMP Use

Statute/Regulation Citation:

201 KAR 20:057
201 KAR 20:063
201 KAR 20:065
201 KAR 25:090
201 KAR 8:540
201 KAR 9:016
201 KAR 9:260
201 KAR 9:270
902 KAR 20:160
908 KAR 1:374 Section
6(2)(d)(1)
201 KAR 25:090
201 KAR 9_067

Training on Enrollment and Use

Researcher - Training Provided   In-person; Interactive online training; Webinar

Prescriber - Training Required   In-person; Interactive online training; Webinar

Patient - Training Provided   In-person; Interactive online training; Webinar

Licensing Board - Training Provided   In-person; Interactive online training; Webinar

Law Enforcement - Training Provided   In-person; Interactive online training; Webinar

Dispenser - Training Provided   In-person; Interactive online training; Webinar

Attorney General - Training Provided   In-person; Interactive online training; Webinar

PDMP Queries

☐ Ability to search for multiple patients in one query Maximum number of patients in one query 1

Patient Query Date Ran 3 years   Other Query Date Range:

Minimum data elements to query for healthcare user: First name, Last name, patient Identifier, date of birth, address, city, state, report from and to date

Query by partial data elements by healthcare user:

Optional data elements to query by healthcare user: zip code, patient alias name

Minimum data elements to query for non-healthcare user:

Query by partial data elements by non-healthcare user:

Optional data elements to query by non-healthcare user:

Unsolicited Reports/Push Notifications

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

☐ Unsolicited alerts/reports to practitioners not enrolled in PDMP

Veterinary Policies

Data transmission frequency for Veterinarians:

Criteria for veterinarian queries:

☐ Veterinarian access to owner's prescription history   ☐ Veterinary Icon on PDMP Report

☐ Reporting Specifications

Reporting Method:

Reporting Specifications:

Data Fields:

Reporting Description:

☐ Reporting Mandate Compliance

Reporting Compliance Details:

Reporting Mandate Actions:

Reporting Issues:

Reporting Misc Information:

☐ Enrollment Mandate Compliance

Enrollment Description: Veterinarians can voluntarily enroll as data requesters with the PDMP and do enroll as data requestors

Enrollment Mandate Compliance Details:

Enrollment Mandate Criteria:

☐ Query Mandate Compliance

Query Description: Veterinarians can voluntarily query and do query

Query Mandate Compliance Details:

Query Mandate Criteria:

PDMP Effectiveness Measures

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed
Reduction in number of benzodiazepine dosage units dispensed
Reduction in number of benzodiazepine prescriptions issued
Reduction in number of opioid dosage units dispensed
Reduction in number of opioid prescriptions issued
Reduction in number of stimulant dosage units dispensed
Reduction in number of stimulant prescriptions issued

Substance Use Disorder Activities

Tools or Resources

☐ MAT Services
☐ MOUD Services
☐ Harm Reduction Strategies
☐ Mental Health Assistance Services
☐ Employee Assistance Programs
☐ Housing Assistance Programs
☐ Re-Entry Programs

Other:

We provide a link to FindHelpNowKY.org, our statewide treatment locator which includes many treatment offerings.

Surveillance Activities

☑ Emergency Department Data   ☐ Medical Examiner/Coroner Data

Data Sources for Surveillance Activities: Emergency department diagnosis and toxicology screen information as reported to the Kentucky Health Information Exchange Vital Statistic Death data Maternal Child Data

Initiated Actions

☐ Resources to Affected Areas
☑ Directed Training on Prescribing
☐ Prescription Drug Tool Kits
☑ Risk Evaluation/Analysis on PDMP Reports
☑ Referrals to SUD Organizations
☐ Referrals to OFR Teams
☑ Prevent disruption of patient services

Other Actions:

Other public health initiatives under OD2A