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Prescription Drug Monitoring Program Training and Technical Assistance Center

# **New York**

PDMP acronym:	I-STOP	Region	East
Agency Responsible:	New York State Department of Health/Bureau of Narcotic Enforcement		
Agency Type:	Department of Health		
	PDMP Web Resources/Links		
PDMP Website:	https://www.health.ny.gov/professionals/narcotic/prescription_monitoring/		
PDMP Email:	MP Email: narcotic@health.state.ny.us		
PDMP Register Website: https://www.health.ny.gov/professionals/narcotic/electronic_data_		electronic_data_trans	mission/
User Account Manual:	ser Account Manual: https://www.health.ny.gov/professionals/narcotic/prescription_monitoring/or notification_program/		ng/online_
PDMP Query Website: https://commerce.health.state.ny.us/hcsportal/hcs_home.portal			
PDMP Data Upload Web	site: https://commerce.health.state.ny.us		
Data Upload Manual:	https://www.health.ny.gov/professionals/narcotic/ ocs/submitter_guide.pdf	electronic_data_trans	mission/d
Statute/Regulation Website: https://www.health.ny.gov/professionals/narcotic/laws_and_regulatio		aws_and_regulations	;/
Opioid Guidelines Websi	te:		
PDMP FAQs:	https://www.health.ny.gov/professionals/narcotic/ mp_registry_faq.pdf	prescription_monitorin	ng/docs/p
Integration Guidance:			
PDMP Statistics Website	: https://www.health.ny.gov/statistics/opioid/		
Opioid Dashboard Webs	ite:		
Training Website:	https://pharmacy.buffalo.edu/academics/continuir york-state-prescription-monitoring-program-updat	0	he-new-
PDMP Contact Information			

### PDMP Contact Information

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State Population and Number of Registrants		
State population: 19,469,232 DEA Prescriber tota	al: 132,717 DEA Dispenser total: 5,564	
Data Trar	smitter(s)	
Correctional Facility Pharmacy Dispensing Veterinarians Pharmacy (In-State) Pharmacy (Mail Order Out of State)	Dispensing Practitioner Long Term Care Facility Pharmacy Pharmacy (Mail Order In-State) Pharmacy (Other Out of State)	
Substances Monitored		
Drugs of Concern	Schedules II - V	
Alternate Data Sources		
ARCOS Reports Marijuana Dispensations Pharmaceutical Manufacturers/Distributors Substance Use Disorder Treatment Options	ARCOS Reports Marijuana Dispensations Pharmaceutical Manufacturers/Distributors Substance Use Disorder Treatment Options	
Available Reports		
Annual PDMP Reports Dispenser Reports to Law Enforcement Lost/Stolen Prescription Reports Patient Query Lists to Law Enforcement Patient Reports to Dispensers Patient Reports to Licensing Boards Prescriber Reports to Law Enforcement Registrant Query Lists to Law Enforcement Registrant Reports to Prescribers	Data Dashboards Licensee Reports to Licensing Board MME Calculations Patient Query Lists to Licensing Boards Patient Reports to Law Enforcement Patient Reports to Prescribers Prescription Drug Combinations Registrant Query Lists to Licensing Boards Statewide Statistics	

### **Statistical Capabilities**

<ul> <li># of Prescription Filled</li> <li># of Prescriptions Filled by CS Schedule</li> <li># of Prescriptions Filled by Drug Class</li> <li>Statistics Filtered by Age or Age Range</li> <li>Statistics Filtered by Ethnicity or Race</li> </ul>	<ul> <li># of Dosage Units Dispensed</li> <li># of Dosage Units Dispensed by CS Schedule</li> <li># of Dosage Units Dispensed by Drug Class</li> <li>Statistics Filtered by Gender Identification</li> <li>Statistics Filtered by Geographic Location</li> </ul>
<ul> <li># of Authorized PDMP Users Enrolled</li> <li># of Registrants in SDTC</li> <li># of Registrants in SDTC by Practice/License Typ</li> </ul>	<ul> <li># of Prescribers Enrolled in PDMP by License Type</li> <li># of Dispensers Enrolled in PDMP by License Type</li> <li># of Prescribers Enrolled in PDMP by Specialty</li> <li># of Unique Prescribers</li> </ul>
<ul> <li># of Data Errors by Error Type</li> <li># of Data Errors Corrected</li> <li># of At-Risk Patients by Risk Factor</li> <li>Risk Factor Statistics by Time Frame</li> </ul>	<ul> <li># of In-State Queries</li> <li># of In-State Queries by Requestor Type</li> <li># of Interstate Queries</li> <li># of Interstate Queries by Requestor Type</li> <li># of Positive Matches from Interstate Queries</li> </ul>
<ul> <li># of Solicited Prescriber Reports</li> <li># of Solicited Prescriber Reports by Requestor Type</li> <li># of Unsolicited Prescriber Reports</li> <li># of Unsolicited Prescriber Reports by Recipient Type</li> <li># of Solicited Dispenser Reports</li> <li># of Solicited Dispenser Reports by Requestor Type</li> <li># of Unsolicited Dispenser Reports</li> </ul>	<ul> <li># of Solicited Statistical Reports by Requestor Typ</li> <li># of Unsolicited Statistical Reports by Recipient Type</li> <li># of Unique Requestors for Solicited Reports</li> <li># of Unique Requestors by Requestor Type (sol. rep</li> <li># of Unique Requestors for Unsolicited Rep</li> <li># of Unique Recipients by Recipient Type (unsol. rep</li> <li># of Unique Recipients by Recipient Type (unsol. rep</li> <li>% Out-of-State Patien</li> <li>% Out-of-State Prescribe</li> </ul>

Data Analysis Resources Available:

Data Analyst; Epidemiologist;

- ✓ # of Solicited Patient Reports
- ✓ # of Solicited Patient Reports by Requestor Type
- □ # of Unsolicited Patient Reports
- ☑ # of Unsolicited Patient Reports by Recipient Type

### Authorized PDMP Users

**Dispenser Delegates - Licensed/Solicited In-State** Dispenser Delegates - Licensed/Solicited Out-of-State Dispenser Delegates - Unlicensed/Solicited In-State Dispenser Delegates - Unlicensed/Solicited Out-of-State **Dispensers - Pharmacists/Solicited In-State** Dispensers - Pharmacists/Solicited Out-of-State **Dispensers - Pharmacists/Unsolicited In-State Drug Treatment Providers/Solicited In-State** Healthcare Facilities and Institutions/Solicited In-State Healthcare Facilities and Institutions/Solicited Out-of-State Healthcare Facilities and Institutions/Unsolicited In-State Healthcare Facilities and Institutions/Unsolicited Outof-State Law Enforcement - Federal/Solicited In-State Law Enforcement - Federal/Solicited Out-of-State Law Enforcement - Local/Solicited In-State Law Enforcement - Local/Solicited Out-of-State Law Enforcement - State/Solicited In-State Law Enforcement - State/Solicited Out-of-State Marijuana Dispensaries/Solicited In-State Medical Examiners and Coroners/Solicited In-State Medical Interns/Solicited In-State Medical Interns/Solicited Out-of-State Medical Interns/Unsolicited In-State Medical Interns/Unsolicited Out-of-State Medical Residents/Solicited In-State Medical Residents/Solicited Out-of-State Medical Residents/Unsolicited In-State Medical Residents/Unsolicited Out-of-State Nurse Practitioners/Solicited In-State Nurse Practitioners/Solicited Out-of-State Nurse Practitioners/Unsolicited In-State Patients/Solicited In-State Physician Assistants/Solicited In-State Physician Assistants/Solicited Out-of-State Physician Assistants/Unsolicited In-State Prescriber Delegates - Licensed/Solicited In-State Prescriber Delegates - Licensed/Solicited Out-of-State Prescriber Delegates - Unlicensed/Solicited In-State Prescriber Delegates - Unlicensed/Solicited Out-of-State Prescribers/Solicited In-State Prescribers/Solicited Out-of-State Prescribers/Unsolicited In-State Prosecutors/Solicited In-State

Prosecutors/Solicited Out-of-State Prosecutors/Unsolicited In-State Regulatory and Licensing Boards/Solicited In-State Regulatory and Licensing Boards/Solicited Out-of-State Regulatory and Licensing Boards/Unsolicited In-State State Health Departments/Solicited In-State Telemedicine Prescribers/Solicited in-State

### **Engaged PDMP Users**

**Dispenser Delegates - Licensed/Solicited In-State** Dispenser Delegates - Licensed/Solicited Out-of-State **Dispenser Delegates - Unlicensed/Solicited In-State** Dispenser Delegates - Unlicensed/Solicited Out-of-State **Dispensers - Pharmacists/Solicited In-State** Dispensers - Pharmacists/Solicited Out-of-State **Drug Treatment Providers/Solicited In-State** Law Enforcement - Federal/Solicited In-State Law Enforcement - Federal/Solicited Out-of-State Law Enforcement - Local/Solicited In-State Law Enforcement - Local/Solicited Out-of-State Law Enforcement - State/Solicited In-State Law Enforcement - State/Solicited Out-of-State Marijuana Dispensaries/Solicited In-State Medical Examiners and Coroners/Solicited In-State Medical Interns/Solicited In-State Medical Interns/Solicited Out-of-State Medical Residents/Solicited In-State Medical Residents/Solicited Out-of-State Nurse Practitioners/Solicited In-State Nurse Practitioners/Solicited Out-of-State Patients/Solicited In-State Physician Assistants/Solicited In-State Physician Assistants/Solicited Out-of-State Prescriber Delegates - Licensed/Solicited In-State Prescriber Delegates - Licensed/Solicited Out-of-State Prescriber Delegates - Unlicensed/Solicited In-State Prescriber Delegates - Unlicensed/Solicited Out-of-State Prescribers/Solicited In-State Prescribers/Solicited Out-of-State Prosecutors/Solicited In-State Prosecutors/Solicited Out-of-State Regulatory and Licensing Boards/Solicited In-State Regulatory and Licensing Boards/Solicited Out-of-State Regulatory and Licensing Boards/Unsolicited In-State State Health Departments/Solicited In-State **Telemedicine Prescribers/Solicited in-State** 

Budget		
Total Annual Budget:	PDMP Staff:	6
# of Employees - Operational	# of Employees -	Other:
# of Employees - Technical:		
# of Employees - Analytical:		
	Funding Sour	ce(s)
CDC Grant		
	Technologi	es
Data Collection Entity:	In-House	✓ State HIE in place
Data Collection Vendor name	In-House	RxCheck Integration Allowed
Data Storage Entity:	In-House	□ VHA VISTA integration
Data Storage Vendor name	In-House	✓ HL7 FHIR connectivity
Report Generation Entity:	In-House	Delegate access via integration
Report Generation Vendor nam	e In-House	SMART on FHIR apps
Data Access Method:	Web Portal/On-Line	ASAP Version Utilized: 4.2B
Data Access Entity:	In-House	
Data Access Vendor name	In-House	
	Patient Matc	ching
$\Box$ Referen	ntial Matching	□ Exact Matching
🗆 Determi	inistic Matching	Probablistic Matching
🗆 Manual	Matching	Probablistic and Manual Matching
☑ Other N	latching	
		st and last names and other patient identifiers. All
		a match. Different patient keys are considered as
	if associated patient info matche	es on street address and
Access to patient matchin		
Patient Matching Metrics Availa		
Patient matching data elements	ı <b>.</b>	
	Integration(s) Av	vailable
Integration Type % Providers	Hub Used Paid by Fed Gov't P	aid by State Gov't Paid by Facility Paid by Provider
EHR Integration 0-24%		
$\Box$ Data Downloaded/Stored	🗆 Data Manipulated	d for Analysis
Data Incorporated with Inters	state Data 🛛 Multistate Integra	ations Allowed
Integration Standards "we use PMIX for our one pilot integration site but have capacity to use PMIX v. 3.0; NCPDP v. 20170FHIR HL7		
Alternate data available:		

Integration Type % Providers Hub Used	Paid by Fed Gov't Paid by State Gov't	Paid by Facility	Paid by Provider
HIE Integration 0-24%			
$\Box$ Data Downloaded/Stored	$\Box$ Data Manipulated for Analysis		
$\Box$ Data Incorporated with Interstate Data	$\Box$ Multistate Integrations Allowed		
Integration Standards			
Alternate data available:			

## Interstate Data Sharing Partner(s)

Alabama	PMPi Hub
Arkansas	PMPi Hub
Colorado	PMPi Hub
Colorado	RxCheck Hub
Connecticut	PMPi Hub
Connecticut	RxCheck Hub
Delaware	PMPi Hub
District of Columbia	PMPi Hub
Florida	PMPi Hub
Florida	RxCheck Hub
Georgia	PMPi Hub
Idaho	PMPi Hub
Illinois	PMPi Hub
Indiana	PMPi Hub
lowa	PMPi Hub
Kansas	PMPi Hub
Kentucky	RxCheck Hub
Maine	PMPi Hub
Maryland	PMPi Hub
Maryland	RxCheck Hub
Massachusetts	PMPi Hub
Michigan	PMPi Hub
Military Health Service	PMPi Hub
Minnesota	PMPi Hub
Mississippi	PMPi Hub
Montana	PMPi Hub
Nebraska	RxCheck Hub
New Hampshire	PMPi Hub
New Jersey	PMPi Hub
North Carolina	PMPi Hub
North Dakota	PMPi Hub
Ohio	PMPi Hub
Pennsylvania	PMPi Hub
Pennsylvania	RxCheck Hub
Puerto Rico	PMPi Hub
Rhode Island	PMPi Hub
South Carolina	PMPi Hub
Texas	PMPi Hub
Utah	RxCheck Hub
Vermont	PMPi Hub
Virginia	PMPi Hub
Washington	PMPi Hub
West Virginia	PMPi Hub
Wisconsin	PMPi Hub

### **PDMP** Policies

Enabling legislation enacted:	1972	Data collection frequency:	Daily or next busin
PDMP operational:	1973	Requirement for zero-repor	ting
User access date (any method):	2010	Frequency of zero-reporting:	Every 2 weeks unless a
User electronic access date:			
Date received electronic data:	1999		
Interstate sharing start date:	2016		
Law/Statute citation:	NY Public Health Law §§ 3343a; 3370, 3371 to 33	§ 12-b; 3309-a; 3331 to 3334; 3 73; 3385; 3396	3337 to 3338; 3343;
Regulation/Rule citation:	NY Code Regs Title 10 §	§ 80.67 to74; .78; .107; .10	8; .123
Dr Shopper law/statute:	NY Stat. Ann. Penal Law	/ § 178.26, Public Health Law §	§ 3397
Pill Mill law/statute:			
Pain Clinic law/statute: Unauthorized Use or Disclosure: Enacted 42 CFR Part 2: 42 CFR Part 2 Details:	NY Public Health Law §	12-b; 3371	
<ul> <li>42 CFR Part 2 Details:</li> <li>Required Notification to consumers</li> <li>Mandated Use of Advisory Group</li> <li>Payment method captured</li> <li>Ability to id prescriber specialty</li> <li>Patient consent required before PDMP data release</li> <li>Ability for users to set thresholds for alert notices</li> <li>Ability for users to do user-led alert notices</li> <li>Ability for users to do user-led alert notices</li> <li>Mandatory E-Prescribing (EPCS)</li> <li>Compliance Process for Enrollment Mandates</li> <li>Compliance Process for Query Mandate</li> <li>ICD-10 Codes Collected</li> <li>Deceased Patient Field</li> <li>Engaged in Academic Detailing</li> <li>Patient ID Required to be Presented to Dispense ID</li> <li>Ability to de-id data</li> <li>Authority to release de-id data</li> <li>De-identified data sharing conditions:</li> </ul>		<ul> <li>Data Retention Policy</li> <li>Data Retention Time: 5 years</li> <li>All Information Purged</li> <li>Patient Information Purged</li> <li>Prescriber Information Purged</li> <li>Dispenser Information Purged</li> <li>Drug Information Purged</li> <li>HIPAA Covered Entity</li> <li>Identifiable Data to State Health Dept</li> <li>Identifiable Data to local Health Dept</li> <li>Certified as CMS Specialized Registry</li> <li>Qualified PDMP with CMS</li> <li>PDMP Disaster Recovery Plan</li> </ul>	
De-Identified Data Retention Det ✓ Retain De-Identified Data			
Record Retention Detai ✓ Patient Notification of Breach Patient Breach Notification Metho	od: Mail	□ Written Notification of Br	each
Patient Breach Notification Method	od Oth		
E-prescribing required substan		s, non-controlled prescription r	
E-prescribing exemptions/waive Practitioner applies for a waiver from e-prescribing. They can apply o via a hard copy form. The request must contain an explanation of the for the waiver. This can include technical or economic hardship. That request is reviewed by our		explanation of the need	
Authority to enforce PDMP mand	lat Regulatory/Licensing	Board, PDMP	

### **Enrollment and Accounts**

□ Superviser Review/audit of Delegate Account Number of Delegates allowed: not limited

□ Auto Enroll with License Renewal or App

Enrollment Method:

Enrollees may obtain an account by applying electronically.

Practitioner IDs for PDMP Account:

Licensing Board number;

2 Factor Authentication:

2 factor authentication not offered

Criteria for Dispensers to get account to upload data:

Must have an individual Health Commerce System (HCS) account to gain access to the PMP. If no professional license, an account issued by BNE.

Criteria for Prescriber delegates to get account:

Prescriber who has HCS account sets up delegate in HCS

Criteria for Dispenser delegates to get account:

Dispenser who has HCS account sets up delegate in HCS

Criteria for PAs to get account:

NY license as PA and has an HCS account

Criteria for Nurse Practitioners to get account:

NY license as NP and has an HCS account

Criteria for other users to get account:

Depending on the role they must be delegates of a licensed practitioner/prescriber and have an HCS account Requirements for Patients to get PDMP Report:

#### Must request from the department

Requirements for others to get PDMP Report:

Criteria for Online Non-healthcare accounts:

Data for Epidemiological Purposes:

Law Enforcement Access Requirements: Subpoena

□ PDMP users validated with DEA Registration Fil PDMP Access without DEA Number

 $\Box$  PDMP users validated with NPI File  $\land$ 

Validation Process for We use an internal validation system

✓ PDMP users validated with State Licensing Board users without DEA #: where all PMP users are required to get an account with our Health Commerce System. We validate off the practitioner's professional license. For designees who do not have professional licenses, they must be designate

### Use Mandates

Prescriber - Mandatory PDMP Use

Statute/Regulation Citation: 14 NYCRR § 822.16 Public Health § 3343-a 10 NYCRR § 80.63 Public Health § 3361 10 NYCRR § 1004.2 Public Health § 3364 10 NYCRR § 1004.12 9 NYCRR 113.3 NY S07509 14 NYCRR 590.1 14 NYCRR 590.4 14 NYCRR 590.8

### Training on Enrollment and Use

Prescriber - Training Provided

Online video; User Guides

### **PDMP** Queries

Ability to search for multiple patients in one query Maximum number of patients in one query 30
 Patient Query Date Ran 1 year
 Other Query Date Range:
 Minimum data elements to query for healthcare user:
 Optional data elements to query by healthcare user:
 Minimum data elements to query for non-healthcare user:
 Minimum data elements to query for non-healthcare user:
 Guery by partial data elements by non-healthcare user:
 Optional data elements to query by non-healthcare user:

### **Unsolicited Reports/Push Notifications**

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

 $\Box$  Unsolicited alerts/reports to practitioners not enrolled in PDMP

## Veterinary Policies

Data transmission frequency for Veterinarians: Daily			
Criteria for veterinarian queries:	Vets report but do not sea	arch	
$\Box$ Veterinarian access to owner's prescrip	tion history	✓ Veterinary Icon on PDMP Report	
Reporting Specifications			
Reporting Method:	Electronic		
Reporting Specifications:			
Data Fields:			
Reporting Description:	Veterinarians are required to re	eport	
Reporting Mandate Compliance			
Reporting Compliance Details:			
Reporting Mandate Actions:			
Reporting Issues:			
Reporting Misc Information:			
Enrollment Mandate Compliance			
Enrollment Description:	Veterinarians are exempted from	m enrollment as data requesters	
Enrollment Mandate Compliance Details:			
Enrollment Mandate Criteria:			
Query Mandate Compliance			
Query Description:	Veterinarians are not permitted	by statute/rule/policy to query	
Query Mandate Compliance Details:			
Query Mandate Criteria:			

### **PDMP Effectiveness Measures**

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed Reduction in number of fatal or non-fatal overdoses Reduction in incidence of multiple provider episodes Reduction in number of opioid prescriptions issued Reduction in number of benzodiazepine prescriptions issued

### Substance Use Disorder Activities

Tools or Resources	
<ul> <li>MAT Services</li> <li>MOUD Services</li> <li>Harm Reduction Strategies</li> <li>Mental Health Assistance Services</li> <li>Employee Assistance Programs</li> <li>Housing Assistance Programs</li> <li>Re-Entry Programs</li> </ul>	Other: NYS NYS Office of Addiction Services and Supports (OASAS)
Surveillance Activities	
Emergency Department Data Data Sources for Surveillance Activities:	□ Medical Examiner/Coroner Data
Initiated Actions	
<ul> <li>Resources to Affected Areas</li> <li>Directed Training on Prescribing</li> <li>Prescription Drug Tool Kits</li> <li>Risk Evaluation/Analysis on PDMP Report</li> <li>Referrals to SUD Organizations</li> <li>Referrals to OFR Teams</li> <li>Prevent disruption of patient services</li> </ul>	Other Actions: