Alabama

PDMP Name: Prescription Drug Monitoring Program
Agency responsible: Alabama Department of Public Health
Agency type: Department of Health
PDMP email: pdmp@adph.state.al.us
PDMP website: http://www.alabamapublichealth.gov/PDMP
Enrollment website: https://alabama.pmpaware.net
Query website: https://alabama.pmpaware.net
Data upload website: https://pmpclearinghouse.net
Statistics website: 

Contact Information

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Montgomery AL 36130-3017
Office: (334) 206-3378
Fax: (334) 206-0363
Email: vicki.walker@adph.state.al.us

State Registrant Totals and Population

<table>
<thead>
<tr>
<th>Statistics year:</th>
<th>DEA registered prescribers: 18,141</th>
</tr>
</thead>
<tbody>
<tr>
<td>State population:</td>
<td>DEA registered dispensers: 1,394</td>
</tr>
<tr>
<td>2019</td>
<td></td>
</tr>
<tr>
<td>4,903,185</td>
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</table>
PDMP Available Reports

- Prescriber access to patient history
- Prescriber access to own history
- Prescriber access to patient query history
- Prescriber access to registrant query history
- Dispenser access to patient history
- Dispenser access to own history
- Dispenser access to patient query history
- Dispenser access to registrant query history
- Patient access to own query history

Other types of reports:
- Summary data on/with patient reports
- Customized reports by user type
- Peer comparison reports
- PDMP annual reports
- Data dashboards
- Prescriber report cards
- Statewide statistics
- Lost/stolen prescription information
- Licensing Board access to patient history
- Licensing Board access to licensee history
- Licensing Board access to patient query history
- Licensing Board access to registrant query history
- Law Enforcement access to patient history
- Law Enforcement access to prescriber history
- Law Enforcement access to dispenser history
- Law Enforcement access to patient query history
- Law Enforcement access to registrant query history

Opioid Dashboard link:
- Data dashboards
- Statewide statistics
- Lost/stolen prescription information
- Clinical Alerts
- Risk Scores
- MME Calculations
- Overdose Reports
- Multiple Provider Episodes
- Drug trend reports
- Geomapping of prescription data
- Evaluation Reports for Public, Legislature, etc.

PDMP Budget and Staffing

**Source(s) of Funding**

- State general fund
- Licensing fee
- Controlled substance registration fee
- Regulatory board fund
- Agreed settlement
- BJA grant
- CDC grant
- SAMHSA grant
- CMS grant
- NASCSA grant
- Other funding

**Staff Category and Number of FTEs**

<table>
<thead>
<tr>
<th>Staff Category</th>
<th>Number of FTEs</th>
</tr>
</thead>
<tbody>
<tr>
<td># of employees - Operational:</td>
<td>1</td>
</tr>
<tr>
<td># of employees - Technical:</td>
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</tr>
<tr>
<td># of employees - Analytical/Epidemiological:</td>
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</tbody>
</table>

Total Staff: 1.00
# Policies and Procedures

## Key PDMP Dates

<table>
<thead>
<tr>
<th>Legislation Enacted:</th>
<th>05-13-2005</th>
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<tbody>
<tr>
<td>PDMP Operational:</td>
<td>01-01-2006</td>
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<tr>
<td>Initial user access:</td>
<td>06-28-2007</td>
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<tr>
<td>Electronic reporting:</td>
<td>01-01-2006</td>
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<tr>
<td>On-line access:</td>
<td>06-28-2007</td>
</tr>
<tr>
<td>Interstate Data Sharing</td>
<td>2012</td>
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</table>

## Relevant Statutes and Rules

| Statute website: | http://www.alabamapublichealth.gov/PDMP |
| Statute citation: | AL Code §20-2-210 to 220; §20-2-91 |
| Regulation citation: | AL Admin Code 420-7-2-.11 to .13; 540-X-4-.04; 540-X-12-.16; 930-X-1-.11 to .13 |
| Dr shopper statute: | AL Code § 13A-12-320 |
| Pill mill statute: | |
| Pain clinic statute: | AL Code §§ 34-24-600 to 610 |
| Disclosure statute: | AL Code §20-2-216 |
| Opioid guidelines: | https://www.albme.org/csfaq.html |

## Miscellaneous Capabilities and Policies

Data collection frequency: **Daily**  
Zero-reporting Zero-reporting frequency: **Daily**

- [x] Mandatory E-Prescribing (EPCS)  
- [ ] Payment method captured  
- [ ] Patient ID required to be presented to dispenser  
- [x] Patient ID captured  
- [x] ID of person (other than patient) dropping off capture  
- [x] ID of person (other than patient) picking up capture  
- [x] Mandated use of advisory group  
- [ ] ICD-10 Codes Collected  
- [ ] Deceased Patient Field  
- [x] HIPAA Covered Entity  
- [ ] Certified as CMS Specialized Registry  
- [ ] User-friendly web interface  
- [ ] Data analysis software  
- [x] Online user guides/educational materials  

- [ ] Patient consent required before data release  
- [ ] Required notification to patients of Query  
- [ ] Patient Breach Notification Method  
- [ ] Patient Breach Notification Method Other:  
- [ ] PDMP Effectiveness Stud  

Zero-reporting frequency: **Daily**

- [x] Ability to identify prescriber specialty  
- [ ] Ability for users to set thresholds for alert notices  
- [ ] Ability for users to do user-led alert notices  
- [x] Unsolicited alerts to practitioners not enrolled in PDMP  
- [x] Ability to search for multiple patients in one query  
- [ ] Maximum number of patients in one query: **indefinite**  
- [x] Supervisor review/audit of delegate accounts  
- [ ] Number of delegates allowed **2**  
- [x] Ability to de-identify data  
- [ ] Authority to release de-identified data  
- [x] Authority to release for epidemiological or educational purposes  
- [x] Engaged in release for epidemiological or educational purposes  
- [ ] Identifiable Data to State Health Department  
- [ ] Identifiable Data to local Health Department  

- [ ] Patient Notification of Breach  
- [x] Patient Breach Notification Written

Frequency unsolicited alerts/reports generated: **Monthly**  
Delivery method for unsolicited alerts/reports: **Mailed out**
Data Retention

- Prescriber information purged
- Dispenser information purged
- Drug information purged
- Patient information purged
- Retain de-identified data
- All information purged
- Retention time: 5 years plus current year

Record retention details:
De-Identified data retention details:

PDMP User Training


<table>
<thead>
<tr>
<th>Available Training</th>
<th>Training Required Before PDMP Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prescriber</td>
<td>Prescriber</td>
</tr>
<tr>
<td>Dispenser</td>
<td>Dispenser</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td>Law Enforcement</td>
</tr>
<tr>
<td>Regulatory Board</td>
<td>Regulatory Board</td>
</tr>
<tr>
<td>Attorney General</td>
<td>Patient</td>
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<tr>
<td>Patient</td>
<td>Researcher</td>
</tr>
<tr>
<td>Researcher</td>
<td>Other</td>
</tr>
</tbody>
</table>

PDMP Accounts

- Automatic enrollment with license renewal or application

Enrollment method: Application process is online. Physicians with matching criteria are automatically approved. Others are approved upon verification of license and other required criteria

Prescriber account criteria: Must be licensed to prescribe, dispense, or administer controlled substances in Alabama or practicing at a federal facility located in Alabama

Dispenser account criteria: Must be licensed by the Alabama Board of Pharmacy or a prescriber designated as a dispenser by the regulatory board or practicing at a federal facility located in Alabama

Regulatory Board account criteria: Authorized representatives of certifying boards whose licensees qualify for access to the PDMP.

Law Enforcement account criteria: State and local law enforcement authorities as authorized under Section 20-2-91 and federal law enforcement authorities

Law Enforcement Requirements

- Active investigation
- Probable cause
- Subpoena
- Search warrant
- Court order/approval
- Proper need/upon request
- Other:

Law Enforcement Access Methods

- On-line/web portal
- Written request
- Other method:

Criteria to Query PDMP

Minimum data elements: Last name, first name, date of birth

Partial data elements: Minimum 3 characters in name

Optional data elements: Date of birth range of 6 months, 1 year, or 2 years, gender, statewide or county, social security number, driver license number, up to three alias names, up to three addresses

Patient access to query list details:
Requirements for patients to get own report:
Veterinarian access to owner's prescription history

Criteria for veterinarian queries:

Animal Owner's name

Reporting method:

Reporting description:

Data Fields:

Animal reporting specifications same as human patient

Animal v. Human Patient Reporting Differences:

Reporting Issues:

Reporting Miscellaneous Information:

Veterinarian Policies

☐ Enrollee cannot access PDMP data but can voluntarily report to the PDMP.

Veterinarians are not permitted by statute/rule/policy to query

Enrollment Description:

Veterinarians cannot access PDMP data but can voluntarily report to the PDMP.

Use Description:

Use Criteria:

Use Mandate Compliance

Compliance Details:
Data Monitored or Accessible

- **Schedule II**
- **Schedule III**
- **Schedule IV**
- **Schedule V**

**Authority to monitor other substance**
- Scheduled II
- Codeine cough syrups
- Anabolic steroids
- Butalbital products and combinations
- Chlordiazepoxide and combinations
- Tianeptine

**Removed from monitoring**

**Drug-related Arrests**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Drug-related Convictions**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Child Welfare Case Information**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Criminal Court Case Information**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Drug Court Case Information**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Medical Marijuana Dispensings**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
- Authorized Users:

**Risk Ratings_Urine Drug Screens**
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity
- Data Collectors:
- Data Reporters:
- Data Location:
- Data Fields:
Authorized Users:
Data Monitored or Accessible (cont’d)

- **Naloxone/Narcan Administrations**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Naloxone/Narcan Dispensings**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Overdoses - Fatal Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Overdoses - Non-fatal Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Pharmaceutical Manufacturers/Distributors Sales**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

  Sales data access method:
  Frequency of sales data upload:
  Sales data elements available:

- **Other Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Description:
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

  - Capture/access registrant's disciplinary history/status
  - Capture/access patient lock-in information
  - Capture/access lost/stolen prescription drug reports
  - ARCOS data available
## Technological Capabilities

<table>
<thead>
<tr>
<th>ASAP Versions Accepted</th>
<th>Data Transmission Methods Allowed</th>
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</thead>
<tbody>
<tr>
<td>✔ ASAP 4-1/2010</td>
<td>✔ Electronic data transmission</td>
</tr>
<tr>
<td>✔ ASAP 4-2/2011</td>
<td>✔ Fax data transmission</td>
</tr>
<tr>
<td>❏ ASAP 4-2a/2016</td>
<td>✔ Mail data transmission</td>
</tr>
<tr>
<td>❏ ASAP 4-2b/2019</td>
<td>✔ Media data transmission</td>
</tr>
</tbody>
</table>

### Required Data Transmitters

- Pharmacy in-state
- Pharmacy in-state/mail order transmitters
- Pharmacy out-state/mail order
- Pharmacy out-state/other
- Other:
  - Dispensing doctor
  - Veterinarian
  - Long-term Care Facility Pharmacy
  - Correctional Facility Pharmacy
  - Department of Defense
  - Veterans Administration
  - Indian Health Services
  - Tribal Pharmacy

### Data Collection, Storage, Generation and Access

- Data collection entity: Vendor
- Vendor name: Appriss
- Data storage entity: Vendor
- Vendor name: Appriss
- Report generation entity: Vendor
- Vendor name: Appriss
- Data access entity: Vendor
- Vendor name: Appriss
- Data access method: Web Portal/On-Line

### Interstate Data Sharing

- Method: PMPi Hub
  - Jurisdictions Engaged: AZ, AR, CO, CT, DE, DC, FL, GA, ID, IA, KS, KY, LA, ME, MA, MI, MN, MS, NV, NY, NC, ND, OH, OK, PA, PR, RI, SC, SD, TN, TX, VA, WA, WY, mhs
- RxCheck Hub
- HIE method

### Data Integration

- State HIE in place
- RxCheck Integration Allowed
- % Providers Integrated: 0%
- Integration Hub: PMPi
- Data allowed to be downloaded/store: Yes
- Data allowed to be manipulated for analysis: Yes
- Data incorporate interstate data: Yes
- HIE Integration: Yes
- EHR Integration: Yes
- PDS Integration: Yes

<table>
<thead>
<tr>
<th>Hub</th>
<th>Paid by Feds</th>
<th>Paid by State</th>
<th>Paid by Facility</th>
<th>Paid by Provider</th>
</tr>
</thead>
<tbody>
<tr>
<td>PMPi</td>
<td>Paid by Feds</td>
<td>Paid by State</td>
<td>Paid by Facility</td>
<td>Paid by Provider</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cost Model:</th>
<th>HIE</th>
<th>EHR</th>
<th>PDS</th>
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<tbody>
<tr>
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<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Paid by State</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Paid by Facility</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Paid by Provider</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>

### Patient Matching

- Exact match
- Probabilistic match
- Referential match
- Deterministic match
- Manual match
- Other match method

<table>
<thead>
<tr>
<th>Access to patient matching algorithms</th>
<th>Matching data elements:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Data elements used in matching process, Limited data elements used in matching process, Lack of insight into matching methodology in use</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Internal matching barriers:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lack of understanding of the matching process, Lack of insight into matching methodology in use</td>
</tr>
</tbody>
</table>

- Interstate matching barriers:  
  - Lack of understanding of the matching process, Lack of insight into matching methodology in use
## Requestors and Reports Authorized to Generate

<table>
<thead>
<tr>
<th>Requestor Type</th>
<th>Solicited Reports</th>
<th></th>
<th>Unsolicited Reports</th>
<th></th>
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<tbody>
<tr>
<td></td>
<td>In-State</td>
<td>Out-of-State</td>
<td>In-State</td>
<td>Out-of-State</td>
</tr>
<tr>
<td><strong>Healthcare</strong></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prescriber</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Dispenser/Business (i.e., pharmacy)</td>
<td></td>
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</tr>
<tr>
<td>Dispenser/Person (i.e., pharmacist)</td>
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<td></td>
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<tr>
<td>Midlevel - Physician Assistant</td>
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<td></td>
<td>✓</td>
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<tr>
<td>Midlevel - Nurse Practitioner</td>
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<tr>
<td>Prescriber Delegate (licensed)</td>
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<tr>
<td>Dispenser Delegate (licensed)</td>
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<tr>
<td>Prescriber Delegate (unlicensed)</td>
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<td>Dispenser Delegate (unlicensed)</td>
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<td>Medical Residents</td>
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<td>Drug Treatment Provider</td>
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<td>State Health Department</td>
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<td>Peer Review Committee</td>
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<tr>
<td><strong>Law Enforcement</strong></td>
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<tr>
<td>Law Enforcement - Federal</td>
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<td>Law Enforcement - State</td>
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<tr>
<td>Law Enforcement - Local</td>
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<tr>
<td>Prosecutorial Authority</td>
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<td>Correctional Supervision</td>
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<td>Medical Examiner/Coroner</td>
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<td>Drug Court</td>
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<td><strong>Public and Private Insurers</strong></td>
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<tr>
<td>Medicaid Fraud and Abuse</td>
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<td>Medicaid Drug Utilization and Review</td>
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<td>Workers Compensation - State</td>
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<td>Workers Compensation - Insurance</td>
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<tr>
<td>Third-party Payer</td>
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<tr>
<td><strong>Other</strong></td>
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</tr>
<tr>
<td>Researchers</td>
<td></td>
<td></td>
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<tr>
<td>Marijuana Dispensary</td>
<td></td>
<td></td>
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<tr>
<td>Other PDMP</td>
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