Connecticut

PDMP Name: CT Prescription Monitoring and Reporting System
Agency responsible: Department of Consumer Protection, Drug Control Division
Agency type: Consumer Protection Agency
PDMP email: dcp.pmp@ct.gov
PDMP website: http://www.ct.gov/dcp/pmp
Enrollment website: https://connecticut.pmpaware.net
Query website: https://connecticut.pmpaware.net
Data upload website: https://pmpclearinghouse.net

Contact Information

Szalkiewicz, Scott - PMP Program Manager
450 Columbus Blvd, Ste 901
Hartford CT 06103
Office: (860) 713-6073
Fax: (860) 622-2608
Email: scott.szalkiewicz@ct.gov

Kittiphane, Nana - PMP Administrator
450 Columbus Blvd, Ste 901
Hartford CT 06103
Office: (860) 713-6073
Fax: (860) 622-2608
Email: nana.kittiphane@ct.gov

State Registrant Totals and Population

Statistics year: 2020
State population: 3,608,298
DEA registered prescribers: 25,603
DEA registered dispensers: 696
### PDMP Available Reports

<table>
<thead>
<tr>
<th>Category</th>
<th>Reports</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prescriber</td>
<td>Prescriber access to patient history ✔️ Prescriber access to own history ✔️ Prescriber access to patient query history ✔️ Prescriber access to registrant query history ✔️</td>
</tr>
<tr>
<td>Dispenser</td>
<td>Dispenser access to patient history ✔️ Dispenser access to own history ✔️ Dispenser access to patient query history ✔️ Dispenser access to registrant query history ✔️</td>
</tr>
<tr>
<td>Licensing Board</td>
<td>Licensing Board access to patient history ✔️ Licensing Board access to licensee history ✔️ Licensing Board access to patient query history ✔️ Licensing Board access to registrant query history ✔️</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td>Law Enforcement access to patient history ✔️ Law Enforcement access to prescriber history ✔️ Law Enforcement access to dispenser history ✔️ Law Enforcement access to patient query history ✔️ Law Enforcement access to registrant query history ✔️</td>
</tr>
<tr>
<td>Patient</td>
<td>Patient access to own query history ✔️</td>
</tr>
</tbody>
</table>

#### Other types of reports:
- Clinical Alerts ✔️
- Risk Scores ✔️
- MME Calculations ✔️
- Overdose Reports ✔️
- Multiple Provider Episodes ✔️
- Drug trend reports ✔️
- Geomapping of prescription data ✔️
- Evaluation Reports for Public, Legislature, etc. ✔️

Opioid Dashboard link: [https://data.ct.gov/browse?category=Health+and+Human+Services](https://data.ct.gov/browse?category=Health+and+Human+Services)

### 2020 PDMP Budget and Staffing

#### Source(s) of Funding

<table>
<thead>
<tr>
<th>Category</th>
<th>Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>✔️ State general fund</td>
<td>✔️ BJA grant</td>
</tr>
<tr>
<td>✔️ Licensing fee</td>
<td>✔️ CDC grant</td>
</tr>
<tr>
<td>✔️ Controlled substance registration fee</td>
<td>✔️ SAMHSA grant</td>
</tr>
<tr>
<td>✔️ Regulatory board fund</td>
<td>✔️ CMS grant</td>
</tr>
<tr>
<td>✔️ Agreed settlement</td>
<td>✔️ NASCSA grant</td>
</tr>
</tbody>
</table>

#### Staff Category and Number of FTEs

<table>
<thead>
<tr>
<th>Category</th>
<th>Number of FTEs</th>
</tr>
</thead>
<tbody>
<tr>
<td># of employees - Operational:</td>
<td>4.25</td>
</tr>
<tr>
<td># of employees - Technical:</td>
<td>1</td>
</tr>
<tr>
<td># of employees - Analytical/Epidemiological:</td>
<td>1</td>
</tr>
</tbody>
</table>

Total Staff: 6.25
## Policies and Procedures

### Key PDMP Dates

<table>
<thead>
<tr>
<th>Legislation Enacted:</th>
<th>06-06-2006</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial user access:</td>
<td>July 2008</td>
</tr>
<tr>
<td>Electronic reporting:</td>
<td>July 2008</td>
</tr>
<tr>
<td>PDMP Operational:</td>
<td>July 2008</td>
</tr>
<tr>
<td>On-line access:</td>
<td>July 2008</td>
</tr>
<tr>
<td>Interstate Data Sharing:</td>
<td>2012</td>
</tr>
</tbody>
</table>

### Relevant Statutes and Rules


**Statute citation:** CT Gen Statute §§21a-254; 21a-254a; 21a-265; 21a-274; 20-578; 20-626

**Regulation citation:** CT Agency Regs §§21a-254-2 to -7

**Dr shopper statute:** CT Gen Statute §§21a-266(h)

### Miscellaneous Capabilities and Policies

- **Data collection frequency:** next business day
- **Zero-reporting Zero-reporting frequency:**
- **Payment method captured**
- **Patient ID required to be presented to dispenser**
- **Patient ID captured**
- **ID of person (other than patient) dropping off capture**
- **ID of person (other than patient) picking up capture**
- **Mandated use of advisory group**
- **ICD-10 Codes Collected**
- **Deceased Patient Field**
- **HIPAA Covered Entity**
- **Ability to identify prescriber specialty**
- **Ability for users to set thresholds for alert notices**
- **Ability for users to do user-led alert notices**
- **Unsolicited alerts to practitioners not enrolled in PDM**
- **Certified as CMS Specialized Registry**
- **User-friendly web interface**
- **Data analysis software**
- **Online user guides/educational materials**
- **Authority to release de-identified data**
- **Authority to release for epidemiological or educational purposes**
- **Engaged in release for epidemiological or educational purposes**
- **Identifiable Data to State Health Department**
- **Identifiable Data to local Health Department**
- **Mandatory E-Prescribing (EPCS)**
- **E-prescribing required substances:**
- **E-prescribing exemptions/waivers:**

- **Patient consent required before data release**
- **Required notification to patients of Query**
- **Patient Breach Notification Method:**
- **Patient Breach Notification Method Other:**
- **PDMP Effectiveness Study**
  - **Internal evaluation at this time. Working with public health agency.**
- **Frequency unsolicited alerts/reports generated:**
- **Delivery method for unsolicited alerts/reports:** Notification sent via email
**Data Retention**

- ✅ Data retention policy
- □ Prescriber information purged
- □ Patient information purged
- □ Dispenser information purged
- □ Drug information purged
- □ Retain de-identified data
- □ All information purged
- □ Dispenser information purged
- □ Drug information purged

Retention time: 5 years

Record retention details:

De-Identified data retention details:

**PDMP User Training**

<table>
<thead>
<tr>
<th>Available Training</th>
<th>Training Required Before PDMP Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prescriber</td>
<td>Prescriber</td>
</tr>
<tr>
<td>Dispenser</td>
<td>Dispenser</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td>Law Enforcement</td>
</tr>
<tr>
<td>Regulatory Board</td>
<td>Regulatory Board</td>
</tr>
<tr>
<td>Attorney General</td>
<td>Patient</td>
</tr>
<tr>
<td>Patient</td>
<td>Researcher</td>
</tr>
</tbody>
</table>

**PDMP Accounts**

- □ Automatic enrollment with license renewal or application

**Prescriber account criteria:** CSP registration, DEA registration number and board license number for providers.

**Dispenser account criteria:** Pharmacists must have the pharmacy’s DEA number and their DCP license number

**Regulatory Board account criteria:** Law enforcement, under department policies and procedures, must take a 30-minute train-the-trainer course. Law enforcement access requires a written request from the highest ranking official of their department.

**Law Enforcement Requirements**

- ✅ Active investigation
- ✅ Subpoena
- ✅ Court order/approval
- □ Probable cause
- □ Search warrant
- □ Proper need/upon request

**Law Enforcement Access Methods**

- ✅ On-line/web portal
- □ Written request

**Other method:**

**Criteria to Query PDMP**

- **Minimum data elements:** First Name, Last Name, Date of Birth
- **Partial data elements:** If the Partial Spelling feature is used, at least the first three characters of the first and last name.
- **Optional data elements:** street address, city, state, zip code

**Patient access to query list details:**

**Requirements for patients to get own report:**

**Non-healthcare users allowed online accounts:**
Veterinarian access to owner's prescription history
Criteria for veterinarian queries:
Animal's Name and Animal Owner's Last Name

Reporting method:
Web Portal

Reporting description:
Veterinarians are required to report

Data Fields:
PAT07 - Last Name, PAT08 - Animal's First Name, PAT18 - Animal's Date of Birth, PAT19 - Animal's Gender, PAT20 - Species Code

Animal reporting specifications same as human patient

Veterinarian Policies

Reporting Mandatory Compliance

 Veterinarians are required to report as data requesters with the PDMP, if they are dispensing controlled substances

Enrollment Mandatory Compliance

 As with any prescriber, if the veterinarian holds a CT Controlled Substance Practitioner (CSP) registration they are required to enroll in the PMP

Compliance Details:

Veterinarians are required to enroll as data requesters with the PDMP, if they are dispensing controlled substances

Use Mandatory Compliance

Same as other prescribers.

Compliance Details:

Veterinarians are required by statute/rule/policy to query

Authority to enforce PDMP mandates: PDMP
## Data Monitored or Accessible

<table>
<thead>
<tr>
<th>Schedule II</th>
<th>Schedule III</th>
<th>Schedule IV</th>
<th>Schedule V</th>
</tr>
</thead>
<tbody>
<tr>
<td>✔ Authority to monitor other substance</td>
<td>✔ Removed from monitoring</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Data Fields:

- **PDMP**
- **Marijuana Dispensary**

### Medical Marijuana Dispensings

- **Data on PDMP Report**
- **Data Collectors:** PDMP
- **Data Reporters:** Marijuana Dispensary
- **Data Location:**
- **Data Fields:** Treated and presented like any other scheduled prescription drug.
- **Authorized Users:** Anyone with full access to the CT PDMP will have access to the patient.
Data Monitored or Accessible (cont’d)

- **Naloxone/Narcan Administrations**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Naloxone/Narcan Dispensings**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Overdoses - Fatal Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Overdoses - Non-fatal Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

- **Pharmaceutical Manufacturers/Distributors Sales**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

  - Sales data access method:
  - Frequency of sales data upload:
  - Sales data elements available:

- **Other Information**
  - Data on PDMP Report
  - Monitor Trends/Inform Policy
  - Reciprocity
  - Description:
  - Data Collectors:
  - Data Reporters:
  - Data Location:
  - Data Fields:
  - Authorized Users:

  - Capture/access registrant’s disciplinary history/status
  - Capture/access patient lock-in information
  - Capture/access lost/stolen prescription drug reports
  - ARCOS data available
Technological Capabilities

**ASAP Versions Accepted**
- [ ] ASAP 4-1/2010
- [x] ASAP 4-2/2011
- [x] ASAP 4-2a/2016
- [x] ASAP 4-2b/2019

**Data Transmission Methods Allowed**
- [x] Electronic data transmission
- [ ] Mail data transmission
- [ ] Fax data transmission
- [ ] Media data transmission
- [ ] Other

**Required Data Transmitters**
- [x] Pharmacy in-state
- [ ] Pharmacy in-state/mail order transmitters
- [x] Pharmacy out-state/mail order
- [x] Pharmacy out-state/other
- [x] Dispensing doctor
- [x] Veterinarian
- [x] Long-term Care Facility Pharmacy
- [x] Correctional Facility Pharmacy
- [ ] Department of Defense
- [ ] Veterans Administration
- [ ] Indian Health Services
- [ ] Tribal Pharmacy
- Other: Medical marijuana dispensary; Via an MOU, Indian Health Service is reporting. Indian Health Service and VA voluntarily reporting.

**Data Collection, Storage, Generation and Access**
- Data collection entity: Vendor
- Data storage entity: Vendor
- Report generation entity: Vendor
- Data access entity: Vendor
- Data access method: Web Portal/On-Line

**Vendor**
- Vendor name: Appriss

**Interstate Data Sharing**
- Method: PMPi Hub
- Jurisdictions Engaged: AL, AK, AZ, AR, CO, DE, DC, FL, ID, IL, IN, IA, KS, LA, ME, MD, MA, MI, MN, MT, NV, NH, NJ, NM, NY, NC, ND, OH, OK, PA, PR, RI, SC, SD, TX, VT, VA, WA, WV, WY and MHS
- FaxCheck Hub: IL, NE, NJ, PA, UT, WA
- HIE method:
- State HIE in place:
- HIE Integration:
- EHR Integration: 25-49%
- PDS Integration:

**Data Integration**
- % Providers Integrated: 25-49%
- Integration Hub: PMPi
- Data allowed to be downloaded/stored:
- Data allowed to be manipulated for analysis:
- Data incorporate interstate data:

**VHA VISTA integration**
- HL7 FHIR connectivity
- HL7 FHIR expected connectivity:

**Patient Matching**
- Exact match
- Probabilistic match
- Referential match
- Deterministic match
- Manual match
- Access to patient matching algorithms
- Matching data elements: Patient last name, Patient first name, Patient address, Patient date of birth
- Matching metrics available: True positive matches, False positive matches
- Internal matching barriers: Data quality issues, Primary sources of information are dispensers.
- Interstate matching barriers: Exact match (last name, first name, dob). Limited
Other match method identifiers.
<table>
<thead>
<tr>
<th>Requestor Type</th>
<th>Solicited Reports</th>
<th>Unsolicited Reports</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>In-State</td>
<td>Out-of-State</td>
</tr>
<tr>
<td><strong>Healthcare</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prescriber</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Dispenser/Business (i.e., pharmacy)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dispenser/Person (i.e., pharmacist)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Midlevel - Physician Assistant</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Midlevel - Nurse Practitioner</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Prescriber Delegate (licensed)</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Dispenser Delegate (licensed)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Prescriber Delegate (unlicensed)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Dispenser Delegate (unlicensed)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Medical Residents</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Interns</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medical Facility/Institution</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Patient</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Drug Treatment Provider</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Regulatory</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Licensing/Regulatory Board</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>State Health Department</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Peer Review Committee</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Law Enforcement</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Law Enforcement - Federal</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Law Enforcement - State</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Law Enforcement - Local</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Prosecutorial Authority</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Correctional Supervision</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medical Examiner/Coroner</td>
<td>✔️</td>
<td>✔️</td>
</tr>
<tr>
<td>Drug Court</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Public and Private Insurers</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medicaid Fraud and Abuse</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Medicaid Drug Utilization and Review</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medicare</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Workers Compensation - State</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Workers Compensation - Insurance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Third-party Payer</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Other</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Researchers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Marijuana Dispensary</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>Other PDMP</td>
<td></td>
<td>✔️</td>
</tr>
<tr>
<td>Requestor Type</td>
<td>Solicited Reports</td>
<td>Unsolicited Reports</td>
</tr>
<tr>
<td>---------------</td>
<td>------------------</td>
<td>--------------------</td>
</tr>
<tr>
<td></td>
<td>In-State</td>
<td>Out-of-State</td>
</tr>
<tr>
<td>Healthcare</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prescriber</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Dispenser/Business (i.e., pharmacy)</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Dispenser/Person (i.e., pharmacist)</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Midlevel - Physician Assistant</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Midlevel - Nurse Practitioner</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Prescriber Delegate (licensed)</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Dispenser Delegate (licensed)</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Prescriber Delegate (unlicensed)</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Dispenser Delegate (unlicensed)</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Medical Residents</td>
<td>✔</td>
<td>✔</td>
</tr>
<tr>
<td>Interns</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Medical Facility/Institution</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Patient</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Drug Treatment Provider</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Regulatory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Licensing/Regulatory Board</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>State Health Department</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Peer Review Committee</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Law Enforcement - Federal</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Law Enforcement - State</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Law Enforcement - Local</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Prosecutorial Authority</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Correctional Supervision</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Medical Examiner/Coroner</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Drug Court</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Public and Private Insurers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medicaid Fraud and Abuse</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Medicaid Drug Utilization and Review</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Medicare</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Workers Compensation - State</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Workers Compensation - Insurance</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Third-party Payer</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Researchers</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Marijuana Dispensary</td>
<td>✔</td>
<td>□</td>
</tr>
<tr>
<td>Other PDMP</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>