Guam

PDMP Name: GPDMP
Agency responsible: Department of Public Health and Social Services
Agency type: Department of Health
PDMP email: dphss-pdmp@dphss.guam.gov
PDMP website: http://dphss.guam.gov/content/prescription-drug-monitoring-program
Enrollment website: https://guam.pmpaware.net/login
Query website: https://guam.pmpaware.net/login
Data upload website: https://pmpclearinghouse.net/users/sign_in

Contact Information

Pinaula, Jeffrey - Program Coordinator IV
123 Chalan Kareta
Mangilao GU 96913-6304
Office: (671) 735-7204
Fax: (671) 734-5556
Email: jeffrey.pinaula@dphss.guam.gov

Vacant
123 Chalan Kareta
Mangilao GU 96913-6304
Office: (671) 735-7236
Fax: (671) 734-5556
Email: 

State Registrant Totals and Population

Statistics year: 2020
State population: 168,000
DEA registered prescribers: 399
DEA registered dispensers: 24
### PDMP Budget and Staffing

#### Source(s) of Funding
- [x] State general fund
- [ ] Licensing fee
- [x] Controlled substance registration fee
- [ ] Regulatory board fund
- [ ] Agreed settlement
- [ ] BJA grant
- [ ] CDC grant
- [x] SAMHSA grant
- [ ] CMS grant
- [ ] NASCSA grant
- [ ] Other funding

#### Staff Category and Number of FTEs

<table>
<thead>
<tr>
<th>Staff Category</th>
<th>Number of FTEs</th>
</tr>
</thead>
<tbody>
<tr>
<td># of employees - Operational:</td>
<td>1</td>
</tr>
<tr>
<td># of employees - Technical:</td>
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<tr>
<td># of employees - Analytical/Epidemiological:</td>
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</tr>
</tbody>
</table>

Total Staff: 2.00

### PDMP Available Reports

- Prescriber access to patient history
- Prescriber access to own history
- Prescriber access to patient query history
- Patient access to own query history
- Dispenser access to patient history
- Dispenser access to own history
- Dispenser access to patient query history
- Dispenser access to registrant query history
- Licensing Board access to patient history
- Licensing Board access to licensee history
- Licensing Board access to patient query history
- Licensing Board access to registrant query history
- Law Enforcement access to patient history
- Law Enforcement access to prescriber history
- Law Enforcement access to dispenser history
- Law Enforcement access to patient query history
- Law Enforcement access to registrant query history
- Summary data on/with patient reports
- Customized reports by user type
- Peer comparison reports
- PDMP annual reports
- Data dashboards
- Prescriber report cards
- Statewide statistics
- Lost/stolen prescription information
- Clinical Alerts
- Risk Scores
- MME Calculations
- Overdose Reports
- Multiple Provider Episodes
- Drug trend reports
- Geomapping of prescription data
- Evaluation Reports for Public, Legislature, etc.

Other types of reports: Grant performance metrics; PDMP Advanced Analytics

Opioid Dashboard link:

2021

PDMP Budget and Staffing

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<tr>
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<td>Other funding</td>
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# Policies and Procedures

## Key PDMP Dates

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<td>PDMP Operational:</td>
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<td>Initial user access:</td>
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<td>Electronic reporting:</td>
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<tr>
<td>On-line access:</td>
<td>2013</td>
</tr>
<tr>
<td>Interstate Data Sharing:</td>
<td>2020</td>
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</tbody>
</table>

## Relevant Statutes and Rules

- **Statute website:** [http://www.guamcourts.org/CompilerofLaws/index.html](http://www.guamcourts.org/CompilerofLaws/index.html)
- **Statute citation:** Title 9 GCA Chapter 67; Section 67.301
- **Regulation citation:** Title 26 GARR Chapter 4 Article 18
- **Dr shopper statute:** GU Code Ann. 9 § 67.403
- **Pill mill statute:**
- **Pain clinic statute:**
- **Disclosure statute:**
- **Opioid guidelines:**

## Miscellaneous Capabilities and Policies

**Data collection frequency:** 14 days

- Payment method captured
- Patient ID required to be presented to dispenser
- Patient ID captured
- ID of person (other than patient) dropping off capture
- ID of person (other than patient) picking up capture
- Mandated use of advisory group
- ICD-10 Codes Collected
- Deceased Patient Field
- HIPAA Covered Entity
- Ability to identify prescriber specialty
- Ability for users to set thresholds for alert notices
- Ability for users to do user-led alert notices
- Unsolicited alerts to practitioners not enrolled in PDM
  - Certified as CMS Specialized Registry
  - User-friendly web interface
  - Data analysis software
  - Online user guides/educational materials

- Patient consent required before data release
- Required notification to patients of Query
- Patient Breach Notification Method:
  - Patient Breach Notification Method Other:
- PDMP Effectiveness Study

**Zero-reporting Zero-reporting frequency:** Monthly

- Ability to search for multiple patients in one query
- Maximum number of patients in one query: 1
- Supervisor review/audit of delegate accounts
- Number of delegates allowed: Not listed in statute
- Ability to de-identify data
- Authority to release de-identified data
- Authority to release for epidemiological or educational purposes
- Engaged in release for epidemiological or educational purposes
- Identifiable Data to State Health Department
- Identifiable Data to local Health Department
- Mandatory E-Prescribing (EPCS)
  - E-prescribing required substances:
  - E-prescribing exemptions/waivers:

- Patient Notification of Breach
- Patient Breach Notification Written

**Frequency unsolicited alerts/reports generated:** Annually

**Delivery method for unsolicited alerts/reports:** Mail
## Data Retention

- Data retention policy: Yes
- All information purged: No
- Disperser information purged: No
- Drug information purged: No
- Retain de-identified data: Yes
- Retention time: 6 years

Record retention details:

De-Identified data retention details: A public or private entity may obtain any prescription monitoring information insofar as the information is necessary for statistical, research or educational purposes, and insofar as information that can be used to identify a person has been removed.

## Training website:

### Available Training

- Prescriber
- Dispenser
- Law Enforcement
- Regulatory Board

### Training Required Before PDMP Use

- Prescriber
- Dispenser
- Law Enforcement
- Regulatory Board

## PDMP Accounts

- Automatic enrollment with license renewal or application: No

**Enrollment method:** Online enrollment

**Prescriber account criteria:** Board license number, Controlled Substances Registration Certificate number, DEA number, must be actively practicing.

**Dispenser account criteria:** Board license number, Controlled Substances Registration certificate number, DEA number.

**Regulatory Board account criteria:** Regulatory/licensing boards are not allowed PDMP accounts. Requests are submitted in writing, and require an active case number and approval signature of requestor's supervisor.

**Law Enforcement account criteria:** Law enforcement are not allowed PDMP accounts. Requests are submitted in writing, and require an active case number, and approval signature of requestor's supervisor.

### Law Enforcement Requirements

- Active investigation
- Probable cause
- Subpoena
- Search warrant
- Court order/approval
- Proper need/upon request

Other: Law enforcement are not allowed PDMP accounts. Requests are submitted in writing, and require an active case number, and approval signature of requestor's supervisor.

### Law Enforcement Access Methods

- On-line/web portal
- Written request

Other method:

## Criteria to Query PDMP

**Minimum data elements:** Last Name, First Name, DOB

**Partial data elements:** not allowed

**Optional data elements:** Address

**Patient access to query list details:** Photo identification, full name, DOB, home and mailing address, telephone number, time period for report.

**Requirements for patients to get own report:** Photo identification, full name, DOB, home and mailing address, telephone number, time period for report.

**Non-healthcare users allowed online accounts:**
Veterinarian access to owner's prescription history

Criteria for veterinarian queries:

Reporting method:

Data Fields:

Animal reporting specifications same as human patient

Animal v. Human Patient Reporting Differences:

Reporting Issues:

Reporting Miscellaneous Information:

Reporting Mandate Compliance

Compliance Details:

Reporting Mandate Actions:

Enrollment Description:

Veterinarians are exempted from enrollment as data requesters

Enrollment Mandate Criteria:

Enrollment Mandate Compliance

Compliance Details:

Use Description:

Veterinarians can voluntarily query, but do not query

Use Criteria:

Use Mandate Compliance

Compliance Details:
<table>
<thead>
<tr>
<th>Data Monitored or Accessible</th>
</tr>
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<tbody>
<tr>
<td>☑ Schedule II</td>
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<tr>
<td>☑ Schedule III</td>
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<tr>
<td>☑ Schedule IV</td>
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<tr>
<td>☑ Schedule V</td>
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</table>

- **Authority to monitor other substance**
- **Removed from monitoring**

### Data Monitored or Accessible

<table>
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<th>Data Monitored or Accessible</th>
<th>Data Location</th>
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<th>Data Reporters</th>
<th>Data Fields</th>
<th>Authorized Users</th>
</tr>
</thead>
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<tr>
<td>Drug-related Arrests</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
<td>Data Fields:</td>
<td>Authorized Users:</td>
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<tr>
<td>Drug-related Convictions</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
<td>Data Fields:</td>
<td>Authorized Users:</td>
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<tr>
<td>Child Welfare Case Information</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
<td>Data Fields:</td>
<td>Authorized Users:</td>
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<td>Criminal Court Case Information</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
<td>Data Fields:</td>
<td>Authorized Users:</td>
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<tr>
<td>Drug Court Case Information</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
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<td>Data Collectors:</td>
<td>Data Reporters:</td>
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<td>Authorized Users:</td>
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<tr>
<td>Risk Ratings_Urine Drug Screens</td>
<td>Data on PDMP Report</td>
<td>Data Collectors:</td>
<td>Data Reporters:</td>
<td>Data Fields:</td>
<td>Authorized Users:</td>
</tr>
</tbody>
</table>
Data Monitored or Accessible (cont’d)

☐ **Naloxone/Narcan Administrations**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Description:
Data Reporters:
Data Collectors:
Data Location:
Data Fields:
Authorized Users:

☐ **Naloxone/Narcan Dispensings**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Data Collectors:
Data Reporters:
Data Location:
Data Fields:
Authorized Users:

☐ **Overdoses - Fatal Information**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Data Collectors:
Data Reporters:
Data Location:
Data Fields:
Authorized Users:

☐ **Overdoses - Non-fatal Information**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Data Collectors:
Data Reporters:
Data Location:
Data Fields:
Authorized Users:

☐ **Pharmaceutical Manufacturers/Distributors Sales**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Sales data access method:
Frequency of sales data upload:
Sales data elements available:

Description:
Data Reporters:
Data Collectors:
Data Location:
Data Fields:
Authorized Users:

☐ **Other Information**
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Data Collectors:
Data Reporters:
Data Location:
Data Fields:
Authorized Users:

☐ Capture/access registrant’s disciplinary history/status
☐ Capture/access patient lock-in information
☐ Capture/access lost/stolen prescription drug reports
☐ ARCOS data available
## Technological Capabilities

### ASAP Versions Accepted
- □ ASAP 4-1/2010
- □ ASAP 4-2/2016
- □ ASAP 4-2/2011
- ✔ ASAP 4-2b/2019

### Data Transmission Methods Allowed
- ✔ Electronic data transmission
- □ Fax data transmission
- ✔ Mail data transmission
- ✔ Media data transmission
- □ Other

### Required Data Transmitters
- ✔ Pharmacy in-state
- ✔ Pharmacy in-state/mail order transmitters
- □ Pharmacy out-state/mail order
- □ Pharmacy out-state/other
- Other:
  - □ Dispensing doctor
  - □ Veterinarian
  - □ Long-term Care Facility Pharmacy
  - □ Correctional Facility Pharmacy
  - □ Department of Defense
  - □ Veterans Administration
  - □ Indian Health Services
  - □ Tribal Pharmacy

### Data Collection, Storage, Generation and Access
- **Data collection entity:** Vendor
  - **Vendor name:** Appriss
- **Data storage entity:** Vendor
  - **Vendor name:** Appriss
- **Report generation entity:** Vendor
  - **Vendor name:** Appriss
- **Data access entity:** Vendor
  - **Vendor name:** Appriss
- **Data access method:** Web Portal/On-Line

### Interstate Data Sharing
- ✔ PMPi Hub
- ✔ RxCheck Hub
- □ HIE method
  - Jurisdictions Engaged: NE, CNMI

### Data Integration
- ✔ RxCheck Integration Allowed
- □ State HIE in place
  - % Providers Integrated: 
  - Integration Hub: Data allowed to be downloaded/stored: Data allowed to be manipulated for analysis: Data incorporate interstate data:
  - □ HIE Integration
  - □ EHR Integration
  - □ PDS Integration

### HIE Cost Model:
- Paid by Feds
- Paid by State
- Paid by Facility
- Paid by Provider

### EHR Cost Model:
- Unknown

### PDS Cost Model:
- Unknown

### VHA VISTA integration
- Unknown

### HL7 FHIR expected connectivity:
- Unknown

### Patient Matching
- □ Exact match
- ✔ Probabilistic match
  - Matching data elements:
  - Matching metrics available:
  - Internal matching barriers: Data quality issues
  - Interstate matching barriers: Data quality issues
- □ Referential match
- □ Deterministic match
- □ Manual match
- □ Other match method
## Requestors and Reports Authorized to Generate

<table>
<thead>
<tr>
<th>Requestor Type</th>
<th>Solicited Reports</th>
<th>Unsolicited Reports</th>
</tr>
</thead>
<tbody>
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<td>In-State</td>
<td>Out-of-State</td>
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<tr>
<td><strong>Healthcare</strong></td>
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<td></td>
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<tr>
<td>Prescriber</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Dispenser/Business (i.e., pharmacy)</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Dispenser/Person (i.e., pharmacist)</td>
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<tr>
<td>Midlevel - Physician Assistant</td>
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<tr>
<td>Midlevel - Nurse Practitioner</td>
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<tr>
<td>Prescriber Delegate (licensed)</td>
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<td>Dispenser Delegate (licensed)</td>
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<td>Prescriber Delegate (unlicensed)</td>
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<td>Dispenser Delegate (unlicensed)</td>
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<tr>
<td>Medical Residents</td>
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<tr>
<td>Medical Facility/Institution</td>
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<tr>
<td>Patient</td>
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<td>Drug Treatment Provider</td>
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<tr>
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<tr>
<td>Medicaid Fraud and Abuse</td>
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<td>Medicaid Drug Utilization and Review</td>
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<td>Marijuana Dispensary</td>
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<td>Other PDMP</td>
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<tr>
<td>Medically Indigent Program</td>
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