Maine

PDMP Name:	ME PMP	PDMP region:	East
Agency responsible:	Maine Department of Health and Human Services, Office of Substance Abuse		
Agency type:	Substance Abuse Agency		
PDMP email:	SAMHS.PMP@maine.gov		
PDMP website:	www.maine.gov/pmp		
Enrollment website:	https://maine.pmpaware.net		
Query website:	https://maine.pmpaware.net		
Data upload website:	https://pmpclearinghouse.net		
Statistics website:	http://www.maine.gov/pmp; http://www.maine.gov/dhhs/samhs/osa/data/pmp/reports.htm ; http://www.maine.gov/dhhs/samhs/osa/data/pubrpts.htm; http://www.maineseow.com/#/home		

Contact Information

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Fax:	(207) 287-4334	Fax:	
Email:	johanna.buzzell@maine.gov	Email:	

State Registrant Totals and Population

Statistics year:	2018	DEA registered prescribers:	8,848
State population:	1,341,582	DEA registered dispensers:	320

PDMP Available Reports

- ☑ Prescriber access to patient history
- ☑ Prescriber access to own history
- ☑ Prescriber access to patient query history
- ☐ Prescriber access to registrant query history

- ☑ Dispenser access to patient history
- ☐ Dispenser access to own history
- ☐ Dispenser access to patient query history
- ☐ Dispenser access to registrant query history

- ☐ Patient access to own query history

- ☑ Licensing Board access to patient history
- ☑ Licensing Board access to licensee history
- ☑ Licensing Board access to patient query history
- ☑ Licensing Board access to registrant query history

- ☑ Law Enforcement access to patient history
- ☑ Law Enforcement access to prescriber history
- ☑ Law Enforcement access to dispenser history
- ☐ Law Enforcement access to patient query history
- ☐ Law Enforcement access to registrant query history

- ☑ Summary data on/with patient reports
- ☑ Customized reports by user type
- ☑ Peer comparison reports
- ☑ PDMP annual reports
- ☑ Data dashboards
- ☑ Prescriber report cards

- ☑ Statewide statistics
- ☐ Lost/stolen prescription information
- ☑ Drug trend reports
- ☑ Geomapping of prescription data
- ☑ Evaluation Reports for Public, Legislature, etc.

Other types of reports:

Opioid Dashboard link: http://www.maineseow.com/#/home

PDMP Budget and Staffing

2019

Source(s) of Funding

- ☑ State general fund
- ☐ Licensing fee
- ☐ Controlled substance registration fee
- ☐ Regulatory board fund
- ☐ Agreed settlement

- ☐ BJA grant
- ☑ CDC grant
- ☐ SAMHSA grant
- ☐ CMS grant
- ☐ NASCSA grant

- ☐ Other funding

Staff Category and Number of FTEs   Total Staff: 3.00

# of employees - Operational:	2	# of employees - Other:
# of employees - Technical:	1	
# of employees - Analytical/Epidemiological:		

Policies and Procedures

Key PDMP Dates

Legislation enacted:	06-23-2003	PDMP operational:	July 2004
Initial user access:	January 2005	On-line access:	January 2005	Electronic reporting:	July 2004

Relevant Statutes and Rules

Statute website: http://www.maine.gov/dhhs/samhs/osa/data/pmp/rules.htm

Statute citation: ME Rev Statute Title 22 Chapter 1603, §§7245 to 7252

Regulation citation: ME Code Regs §§14-118 Chapter 11

Dr shopper statute: ME 17-A MRSA §§ 1108

Pill mill statute:

Pain clinic statute:

Disclosure statute: ME Rev Statute Title 22 Chapter 1603, §7251(2)

Opioid guidelines:

Miscellaneous Capabilities and Policies

Data collection frequency: next business day   ☑ Zero-reporting Zero-reporting frequency: quarterly

☑ Mandatory E-Prescribing (EPCS)
☑ Payment method captured
☑ Patient ID required to be presented to dispenser
☑ Patient ID captured
☐ ID of person (other than patient) dropping off capture
☐ ID of person (other than patient) picking up capture
☑ Mandated use of advisory group
☑ ICD-10 Codes Collected
☐ Deceased Patient Field
☐ HIPAA Covered Entity
☐ Certified as CMS Specialized Registry
☐ User-friendly web interface
☐ Data analysis software
☑ Online user guides/educational materials

☑ Ability to identify prescriber specialty
☑ Ability for users to set thresholds for alert notices
☐ Ability for users to do user-led alert notices
☑ Unsolicited alerts to practitioners not enrolled in PDMP
☑ Ability to search for multiple patients in one query
   Maximum number of patients in one query:
☑ Supervisor review/audit of delegate accounts
   Number of delegates allowed: not limited
☑ Ability to de-identify data
☑ Authority to release de-identified data
☑ Authority to release for epidemiological or educational purposes
☑ Engaged in release for epidemiological or educational purposes
☑ Identifiable Data to State Health Department
☐ Identifiable Data to local Health Department

☐ Patient consent required before data release
☐ Required notification to patients of Query
Patient Breach Notification Method:
Patient Breach Notification Method Other:
☑ PDMP Effectiveness Study

☐ Patient Notification of Breach
☐ Patient Breach Notification Written

Frequency unsolicited alerts/reports generated: quarterly

Delivery method for unsolicited alerts/reports: Notification sent via email and available within the system when the provider logs in.

Data Retention

☑ Data retention policy ☐ Prescriber information purged ☐ Patient information purged ☑ Retain de-identified data

☑ All information purged ☐ Dispenser information purged ☐ Drug information purged Retention time: 6 years

Record retention details: 6 years

De-Identified data retention details: Once patient, practitioner, and pharmacist identifying information has been removed, the aggregated data may be retained indefinitely.

PDMP User Training

Training website: http://www.maine.gov/dhhs/samhs/osa/data/pmp/resources.htm

Available Training		Training Required Before PDMP Use	
☑ Prescriber	☐ Attorney General	☐ Prescriber	☐ Attorney General
☑ Dispenser	☐ Patient	☐ Dispenser	☐ Patient
☐ Law Enforcement	☑ Researcher	☐ Law Enforcement	☐ Researcher
☑ Regulatory Board		☐ Regulatory Board	☐ Other

PDMP Accounts

☑ Automatic enrollment with license renewal or application

Enrollment method: Prescribers are auto enrolled per the Board's, Dispensers and delegates are manual

Prescriber account criteria: DEA Registration number and State License number, and state or federal government issued photo ID (registration form must be notarized); exceptions are made for VA providers—any provider who is employed at a VA in Maine may register, even if they have an out of state license.

Dispenser account criteria: DEA Registration number and State License number, and state or federal government issued photo ID (registration form must be notarized);

Regulatory Board account criteria: Licensing boards have identified investigators who can query

Law Enforcement account criteria: Access through Grand Jury Subpoena, PDMP staff queries data for them

Law Enforcement Requirements

☐ Active investigation ☐ Probable cause

☑ Subpoena ☐ Search warrant

☐ Court order/approval ☐ Proper need/upon request

Other:

Law Enforcement Access Methods

☐ On-line/web portal

☑ Written request

Other method:

Must be a grand jury subpoena submitted in writing to the PDMP Coordinator

Criteria to Query PDMP

Minimum data elements: first and last name, date of birth

Partial data elements:

Optional data elements: address, gender, exact or soundex, county, zip code

Patient access to query list details:

Requirements for patients to get own report: Fill out a request for person PHI form; present ID to get a copy; policy to allow notarized copy of their id or designee can pick it up for them with proper id

Required PDMP Enrollment and Use

☑ Required enrollment - Prescriber ☑ Required enrollment - Dispenser ☑ Compliance Process for Enrollment Mandates

Legislatively mandated that automatic enrollment occurs for all licensed prescribers in the state of Maine. Legislatively mandated that all dispensers licensed to dispense schedule II - IV controlled substances be registered with the PMP.

Enrollment Effective Date(s):   February 23, 2012

☑ Required use - Prescriber ☑ Required use - Dispenser ☐ Compliance Process for Use Mandates

Mandated use of the PDMP upon initial prescription of an opioid or benzodiazepine medication and every 90 days for as long as the prescription is active. PDMP check must be completed if any of the following conditions are met: Person is not a Maine resident Prescription is from a prescriber with an address outside the State of Maine Person is paying cash with prescription insurance on file Person has not had a prescription for a benzo or opioid in the past 12 months

Use Effective Date(s):   January 1, 2017

Authority to enforce PDMP mandates: Regulatory/Licensing Board, PDMP

Veterinarian Policies

☑ Veterinarian access to owner's prescription history ☑ Veterinary Icon on PDMP Report

Criteria for veterinarian queries: Animal Owner's Name and Date of Birth

Reporting method: Rules not yet promulgated

Reporting description: Veterinarians are required to report

Data Fields: Rules not yet promulgated

☑ Animal reporting specifications same as human patient

Animal v. Human Patient Reporting Differences:

Reporting Issues:

Reporting Miscellaneous Information:

☐ Reporting Mandate Compliance

Compliance Details:

Reporting Mandate Actions:

Enrollment Description: Veterinarians can voluntarily enroll as data requesters with the PDMP and do enroll as data requestors

Enrollment Mandate Criteria:

☐ Enrollment Mandate Compliance

Compliance Details:

Use Description: Veterinarians are required by statute/rule/policy to query

Use Criteria:

☐ Use Mandate Compliance

Compliance Details:

Data Monitored or Accessible

☑ Schedule II controlled substances   ☐ Authority to monitor other substances
☑ Schedule III controlled substances
☑ Schedule IV controlled substances   ☐ Removed from monitoring
☐ Schedule V controlled substances

☐ **Drug-related Arrests**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☐ **Drug-related Convictions**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☐ **Child Welfare Case Information**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☐ **Criminal Court Case Information**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☐ **Drug Court Case Information**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☐ **Medical Marijuana Dispensings**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters:
☐ Reciprocity   Data Location:

☑ **Naloxone/Narcan Administrations**
☐ Data on PDMP Report   Data Collectors: Emergency Medical Services
☐ Monitor Trends/Inform Policy Data Reporters: Emergency Medical Services
☐ Reciprocity   Data Location:

☑ **Naloxone/Narcan Dispensings**
☐ Data on PDMP Report   Data Collectors:
☐ Monitor Trends/Inform Policy Data Reporters: dispensing pharmacists and MAT providers
☐ Reciprocity   Data Location:

☐ **Pharmaceutical Manufacturers/Distributors Sales**

☐ Data on PDMP Report   Data Collectors:

☐ Monitor Trends/Inform Policy   Data Reporters:

☐ Reciprocity   Data Location:

Sales data access method:

Frequency of sales data upload:

Sales data elements available:

☑ **Overdoses - Fatal Information**

☐ Data on PDMP Report   Data Collectors:

☐ Monitor Trends/Inform Policy   Data Reporters: Syndromic Surveillance; Emergency Departments; Medical Examiner

☑ Reciprocity   Data Location:

☑ **Overdoses - Non-fatal Information**

☐ Data on PDMP Report   Data Collectors:

☐ Monitor Trends/Inform Policy   Data Reporters: Syndromic Surveillance; Emergency Departments; Medical Examiner

☑ Reciprocity   Data Location:

☑ **Other Information**   Description: Treatment facility to enter information into the program for a patie

☐ Data on PDMP Report   Data Collectors:

☐ Monitor Trends/Inform Policy   Data Reporters:

☐ Reciprocity   Data Location:

☑ Capture/access registrant's disciplinary history/status

☐ Capture/access patient lock-in information

☐ Capture/access lost/stolen prescription drug reports

☐ ARCOS data available

Technological Capabilities

ASAP Versions Accepted

- ☐ ASAP 4-1/2010
- ☑ ASAP 4-2/2011
- ☑ ASAP 4-2a/2016

Data Transmission Methods Allowed

- ☑ Electronic data transmission
- ☐ Fax data transmission
- ☐ Other
- ☐ Mail data transmission
- ☐ Media data transmission

Required Data Transmitters

- ☑ Pharmacy in-state
- ☑ Pharmacy in-state/mail order transmitters
- ☑ Pharmacy out-state/mail order
- ☐ Pharmacy out-state/other

- ☑ Dispensing doctor
- ☑ Veterinarian
- ☐ Long-term Care Facility Pharmacy
- ☐ Correctional Facility Pharmacy

- ☐ Department of Defense
- ☑ Veterans Administration
- ☑ Indian Health Services
- ☐ Tribal Pharmacy

Other:

Data Collection, Storage, Generation and Access

Data collection entity:	Vendor	Vendor name:	Appriss
Data storage entity:	Vendor	Vendor name:	Appriss
Report generation entity:	Vendor	Vendor name:	Appriss
Data access entity:	Vendor	Vendor name:	Appriss
Data access method:	Web Portal/On-Line		

Interstate Data Sharing

Method   Jurisdictions Engaged

- ☑ PMPi Hub   AL, AZ, AR, CO, CT, DE, FL, GA, ID, IA, KS, MA, MI, MN, MS, NV, NH, NJ, NM, NY, NC, ND, OH, PA, RI, SC, TN, TX, VT, VA, WV
- ☑ RxCheck Hub
- ☐ HIE method

Data Integration

Method	Paid By	Cost Model	# of Integrations
☑ via Health Information Exchange	Healthcare provider	Annual Fee	
☑ via Electronic Health Record	Healthcare provider	Annual Fee	
☑ via Pharmacy Dispensing System	Healthcare provider	Annual Fee	

Other:

- ☑ Integrations through PMPi Hub
- ☐ Integrations through RxCheck Hub
- ☐ Integrations through Other Hub

Clinicians % Integrated:

Pharmacies % Integrated:

Patient Matching

- ☑ Exact match
- ☑ Probablistic match

- ☐ Referential match
- ☐ Deterministic match
- ☑ Manual match
- ☑ Other match method

☑ Access to patient matching algorithms

Matching data elements: Patient last name, Patient first name, Patient address, Patient date of birth, Patient gender

Matching metrics available:

Internal matching barriers:

Interstate matching barriers:

Manual matching (human adjudicators manually review potential matches and make a determination whether or not two records match based on the data available)

Requestors and Reports Authorized to Generate

	Requestor Type	Solicited Reports		Unsolicited Reports	
		In-State	Out-of-State	In-State	Out-of-State
Healthcare	Prescriber	☑	☑	☑	☑
	Dispenser/Business (i.e., pharmacy)	☑	☑	☑	☑
	Dispenser/Person (i.e., pharmacist)	☑	☑	☑	☑
	Midlevel - Physician Assistant	☑	☑	☑	☑
	Midlevel - Nurse Practitioner	☑	☑	☑	☑
	Prescriber Delegate (licensed)	☑	☑	☐	☐
	Dispenser Delegate (licensed)	☑	☑	☐	☐
	Prescriber Delegate (unlicensed)	☑	☐	☐	☐
	Dispenser Delegate (unlicensed)	☑	☑	☐	☐
	Medical Residents	☑	☑	☑	☑
	Interns	☐	☐	☐	☐
	Medical Facility/Institution	☑	☐	☐	☐
	Patient	☑	☑	☐	☐
	Drug Treatment Provider	☑	☐	☐	☐
Regulatory	Licensing/Regulatory Board	☑	☑	☑	☐
	State Health Department	☐	☐	☐	☐
	Peer Review Committee	☐	☐	☐	☐
Law Enforcement	Law Enforcement - Federal	☑	☑	☐	☐
	Law Enforcement - State	☑	☑	☐	☐
	Law Enforcement - Local	☑	☑	☐	☐
	Prosecutorial Authority	☐	☐	☐	☐
	Correctional Supervision	☐	☐	☐	☐
	Medical Examiner/Coroner	☑	☐	☐	☐
	Drug Court	☐	☐	☐	☐
Public and Private Insurers	Medicaid Fraud and Abuse	☑	☐	☐	☐
	Medicaid Drug Utilization and Review	☑	☐	☐	☐
	Medicare	☐	☐	☐	☐
	Workers Compensation - State	☐	☐	☐	☐
	Workers Compensation - Insurance	☐	☐	☐	☐
	Third-party Payer	☐	☐	☐	☐
Other	Researchers	☑	☑	☐	☐
	Marijuana Dispensary	☐	☐	☐	☐
	Other PDMP	◪	☑	◪	☐
		☐	☐	☐	☐
		☐	☐	☐	☐
		☐	☐	☐	☐

Requestors and Reports Being Generated

	Requestor Type	Solicited Reports		Unsolicited Reports	
		In-State	Out-of-State	In-State	Out-of-State
Healthcare	Prescriber	☑	☑	☑	☑
	Dispenser/Business (i.e., pharmacy)	☑	☑	☐	☐
	Dispenser/Person (i.e., pharmacist)	☑	☑	☐	☐
	Midlevel - Physician Assistant	☑	☑	☑	☑
	Midlevel - Nurse Practitioner	☑	☑	☑	☑
	Prescriber Delegate (licensed)	☑	☑	☐	☐
	Dispenser Delegate (licensed)	☑	☑	☐	☐
	Prescriber Delegate (unlicensed)	☐	☐	☐	☐
	Dispenser Delegate (unlicensed)	☐	☐	☐	☐
	Medical Residents	☑	☑	☑	☑
	Interns	☐	☐	☐	☐
	Medical Facility/Institution	☐	☐	☐	☐
	Patient	☑	☑	☐	☐
	Drug Treatment Provider	☑	☐	☐	☐
Regulatory	Licensing/Regulatory Board	☑	☑	☑	☐
	State Health Department	☐	☐	☐	☐
	Peer Review Committee	☐	☐	☐	☐
Law Enforcement	Law Enforcement - Federal	☑	☑	☐	☐
	Law Enforcement - State	☑	☑	☐	☐
	Law Enforcement - Local	☑	☑	☐	☐
	Prosecutorial Authority	☐	☐	☐	☐
	Correctional Supervision	☐	☐	☐	☐
	Medical Examiner/Coroner	☑	☐	☐	☐
	Drug Court	☐	☐	☐	☐
Public and Private Insurers	Medicaid Fraud and Abuse	☑	☐	☐	☐
	Medicaid Drug Utilization and Review	☑	☐	☐	☐
	Medicare	☐	☐	☐	☐
	Workers Compensation - State	☐	☐	☐	☐
	Workers Compensation - Insurance	☐	☐	☐	☐
	Third-party Payer	☐	☐	☐	☐
Other	Researchers	☑	☑	☐	☐
	Marijuana Dispensary	☐	☐	☐	☐
	Other PDMP	▣	☐	▣	☐
		☐	☐	☐	☐
		☐	☐	☐	☐
		☐	☐	☐	☐