New York

PDMP Name: I-STOP
Agency responsible: New York State Department of Health/Bureau of Narcotic Enforcement
Agency type: Department of Health
PDMP email: narcotic@health.state.ny.us
PDMP website: http://www.health.state.ny.us/professionals/narcotic/
Enrollment website: https://www.health.ny.gov/professionals/narcotic/electronic_data_transmission/
Query website: https://commerce.health.state.ny.us/hcsportal/hcs_home.portal
Data upload website: https://commerce.health.state.ny.us

Contact Information

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Office: (518) 408-0248
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Email: narcotic@health.ny.gov

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150 Broadway, Riverview Center
Albany NY 12204
Office: (518) 408-0248
Fax: (518) 402-0709
Email: kimberly.leonard@health.ny.gov

State Registrant Totals and Population

<table>
<thead>
<tr>
<th>Statistics year:</th>
<th>DEA registered prescribers:</th>
<th>DEA registered dispensers:</th>
</tr>
</thead>
<tbody>
<tr>
<td>2019</td>
<td>123,341</td>
<td>5,527</td>
</tr>
<tr>
<td>State population:</td>
<td>19,453,561</td>
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</table>
PDMP Budget and Staffing

**Source(s) of Funding**
- State general fund
- Licensing fee
- Controlled substance registration fee
- Regulatory board fund
- Agreed settlement

- BJA grant
- CDC grant
- SAMHSA grant
- CMS grant
- NASCSA grant
- Other funding

**Staff Category and Number of FTEs**

<table>
<thead>
<tr>
<th>2020</th>
<th>Total Staff: 6.00</th>
</tr>
</thead>
<tbody>
<tr>
<td># of employees - Operational:</td>
<td># of employees - Other:</td>
</tr>
<tr>
<td># of employees - Technical:</td>
<td></td>
</tr>
<tr>
<td># of employees - Analytical/Epidemiological:</td>
<td></td>
</tr>
</tbody>
</table>
**Policies and Procedures**

### Key PDMP Dates
- **Legislation Enacted:** 1972
- **PDMP Operational:** 04-01-1973
- **On-line access:**
- **Electronic reporting:** 1999
- **Inter-state Data Sharing:** 2016

### Relevant Statutes and Rules
- **Statute citation:** NY Public Health Law §§ 12-b; 3309-a; 3331 to 3334; 3337 to 3338; 3343; 3343a; 3370, 3371 to 3373; 3385; 3396
- **Regulation citation:** NY Code Regs Title 10 §§ 80.67 to - .74; .78; .107; .108; .123
- **Pill mill statute:**
- **Pain clinic statute:**
- **Disclosure statute:** NY Public Health Law § 12-b; 3371

### Miscellaneous Capabilities and Policies
- **Data collection frequency:** real time by statute/24 h
- **Zero-reporting**
- **Zero-reporting frequency:** Every 2 weeks unless a
- **Mandatory E-Prescribing (EPCS)**
- **Payment method captured**
- **Patient ID required to be presented to dispenser**
- **Patient ID captured**
- **ID of person (other than patient) dropping off capture**
- **ID of person (other than patient) picking up capture**
- **Mandated use of advisory group**
- **ICD-10 Codes Collected**
- **Deceased Patient Field**
- **HIPAA Covered Entity**
- **Certified as CMS Specialized Registry**
- **User-friendly web interface**
- **Data analysis software**
- **Online user guides/educational materials**
- **Patient consent required before data release**
- **Required notification to patients of Query**

**Patient Breach Notification Method:**
- **Mail**

**Patient Breach Notification Method Other:**

**PDMP Effectiveness Stud**

**Frequency unsolicited alerts/reports generated:**

**Delivery method for unsolicited alerts/reports:**

- Ability to identify prescriber specialty
- Ability for users to set thresholds for alert notices
- Ability for users to do user-led alert notices
- Unsolicited alerts to practitioners not enrolled in PDMP
- Ability to search for multiple patients in one query

**Maximum number of patients in one query:** 30

- **Number of delegates allowed:** not limited
- **Ability to de-identify data**
- **Authority to release de-identified data**
- **Authority to release for epidemiological or educational purposes**
- **Engaged in release for epidemiological or educational purposes**
- **Identifiable Data to State Health Department**
- **Identifiable Data to local Health Department**

- **Patient Notification of Breach**
- **Patient Breach Notification Written**
Data Retention

- Data retention policy
- Prescriber information purged
- Patient information purged
- Dispenser information purged
- Drug information purged
- Retain de-identified data
- All information purged
- Patient information purged
- Dispenser information purged
- Drug information purged

Retention time: 5 years

Record retention details:

- De-Identified data retention details:

PDMP User Training

Training website:

<table>
<thead>
<tr>
<th>Available Training</th>
<th>Training Required Before PDMP Use</th>
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<tr>
<td>Prescriber</td>
<td>Operator General</td>
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<tr>
<td>Dispenser</td>
<td>Patient</td>
</tr>
<tr>
<td>Law Enforcement</td>
<td>Law Enforcement</td>
</tr>
<tr>
<td>Regulatory Board</td>
<td>Regulatory Board</td>
</tr>
<tr>
<td>Patient</td>
<td>Researcher</td>
</tr>
<tr>
<td>Attorney General</td>
<td>Other</td>
</tr>
</tbody>
</table>

PDMP Accounts

- Automatic enrollment with license renewal or application

Enrollment method: Enrollees may obtain an account by applying electronically.

Prescriber account criteria: Must have an individual Health Commerce System (HCS) account to gain access to the PMP

Dispenser account criteria: Must have an individual Health Commerce System (HCS) account to gain access to the PMP

Regulatory Board account criteria:

Law Enforcement account criteria:

Law Enforcement Requirements

- Active investigation
- Subpoena
- Court order/approval

Law Enforcement Access Methods

- On-line/web portal
- Written request

Other method:

Criteria to Query PDMP

Minimum data elements: First name, last name, DOB

Partial data elements:

Optional data elements:

Patient access to query list details:

Requirements for patients to get own report: Must request from the department
Veterinarian access to owner's prescription history

Criteria for veterinarian queries:
- Vets report but do not search
- Electronic

Reporting description:
- Veterinarians are required to report

Data Fields:
- Animal reporting specifications same as human patient

Animal v. Human Patient Reporting Differences:

Reporting Issues:

Reporting Mandate Compliance
- Reporting Mandate Actions
- Enrollment Description:
  Veterinarians are exempted from enrollment as data requesters

Enrollment Mandate Criteria:

Enrollment Mandate Compliance

Use Description:
- Veterinarians are not permitted by statute/rule/policy to query

Use Criteria:

Use Mandate Compliance

Compliance Details:
Data Monitored or Accessible

- ✔ Schedule II
- ✔ Schedule III
- ✔ Schedule IV
- ✔ Schedule V

- ✔ Authority to monitor other substance: Chorionic Gonadotropin (HCG)
- □ Removed from monitoring

### Drug-related Arrests
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Drug-related Convictions
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Child Welfare Case Information
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Criminal Court Case Information
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Drug Court Case Information
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Medical Marijuana Dispensings
- ✔ Data on PDMP Report
- ✔ Monitor Trends/Inform Policy
- □ Reciprocity

Data Collectors: Health Department

Data Reporters:

Data Location:

Data Fields:

Authorized Users:

### Risk Ratings_Urine Drug Screens
- Data on PDMP Report
- Monitor Trends/Inform Policy
- Reciprocity

Data Collectors:

Data Reporters:

Data Location:

Data Fields:

Authorized Users:
Data Monitored or Accessible (cont’d)

☐ Naloxone/Narcan Administrations
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

☐ Naloxone/Narcan Dispensings
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

☐ Overdoses - Fatal Information
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

☐ Overdoses - Non-fatal Information
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

☐ Pharmaceutical Manufacturers/Distributors Sales
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

Sales data access method:
Frequency of sales data upload:
Sales data elements available:

☐ Other Information
☐ Data on PDMP Report
☐ Monitor Trends/Inform Policy
☐ Reciprocity

☐ Capture/access registrant's disciplinary history/status
☐ Capture/access patient lock-in information
☐ Capture/access lost/stolen prescription drug reports
☐ ARCOS data available
## Technological Capabilities

<table>
<thead>
<tr>
<th>ASAP Versions Accepted</th>
<th>Data Transmission Methods Allowed</th>
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</thead>
<tbody>
<tr>
<td>- ASAP 4-1/2010</td>
<td>✓ Electronic data transmission</td>
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<tr>
<td>- ASAP 4-2/2011</td>
<td>‟ Fax data transmission</td>
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<tr>
<td>- ASAP 4-2a/2016</td>
<td>- Mail data transmission</td>
</tr>
<tr>
<td>- ASAP 4-2b/2019</td>
<td>- Media data transmission</td>
</tr>
<tr>
<td>- Other</td>
<td></td>
</tr>
</tbody>
</table>

### Required Data Transmitters

- Pharmacy in-state
- Pharmacy in-state/mail order transmitters
- Pharmacy out-state/mail order
- Pharmacy out-state/other
- Other:
  - Dispensing doctor
  - Veterinarian
  - Long-term Care Facility Pharmacy
  - Correctional Facility Pharmacy
  - Department of Defense
  - Veterans Administration
  - Indian Health Services
  - Tribal Pharmacy

### Data Collection, Storage, Generation and Access

- Data collection entity: In-House
- Vendor name: In-House
- Data storage entity: In-House
- Vendor name: In-House
- Report generation entity: In-House
- Vendor name: In-House
- Data access entity: In-House
- Vendor name: In-House
- Data access method: Web Portal/On-Line

### Interstate Data Sharing

- **Method**: PMPi Hub
  - Jurisdictions Engaged: AL, AR, CT, DE, DC, GA, IL, IN, IA, KS, ME, MD, MA, MI, MN, MS, MT, NH, NJ, NC, ND, OH, PA, PR, RI, SC, TX, VT, VA, WA, WV, WI and MHS

### Data Integration

- State HIE in place
- % Providers Integrated: <10%
- Integration Hub: RxCheck Hub
- Data allowed to be downloaded/store
- Data allowed to be manipulated for analysis
- Data incorporate interstate data

<table>
<thead>
<tr>
<th>Integration</th>
<th>% Providers Integrated</th>
<th>Data allowed to be downloaded/store</th>
<th>Data allowed to be manipulated for analysis</th>
<th>Data incorporate interstate data</th>
</tr>
</thead>
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<tr>
<td>HIE Integration</td>
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<td>❌</td>
<td>❌</td>
<td>❌</td>
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<tr>
<td>EHR Integration</td>
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<td>❌</td>
<td>❌</td>
<td>❌</td>
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<tr>
<td>PDS Integration</td>
<td>✓</td>
<td>❌</td>
<td>❌</td>
<td>❌</td>
</tr>
</tbody>
</table>

- Paid by Feds: Paid by State: Paid by Facility: Paid by Provider

<table>
<thead>
<tr>
<th>HIE Cost Model:</th>
<th>EHR Cost Model:</th>
<th>PDS Cost Model:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paid by Provider</td>
<td>Paid by State</td>
<td>Paid by Facility</td>
</tr>
</tbody>
</table>

### Patient Matching

- Exact match
- Probabilistic match
- Referential match
- Deterministic match
- Manual match
- Other match method

- Access to patient matching algorithms
- Matching data elements:
- Matching metrics available:
- Internal matching barriers:
- Interstate matching barriers:

**Other match method**

A patient key is created using phonetic first and last names and other patient identifiers. All patients with the same key are considered a match. Different patient keys are considered as matching if associated patient info matches on street address and other patient identifiers. Patient keys are maintained and allow for indexed searches and a rapid response time.
<table>
<thead>
<tr>
<th>Requestor Type</th>
<th>Solicited Reports</th>
<th>Unsolicited Reports</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>In-State</td>
<td>Out-of-State</td>
</tr>
<tr>
<td><strong>Healthcare</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prescriber</td>
<td>√</td>
<td>√</td>
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<tr>
<td>Dispenser/Business (i.e., pharmacy)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dispenser/Person (i.e., pharmacist)</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>Midlevel - Physician Assistant</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>Midlevel - Nurse Practitioner</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>Prescriber Delegate (licensed)</td>
<td>√</td>
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</tr>
<tr>
<td>Dispenser Delegate (licensed)</td>
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<tr>
<td>Prescriber Delegate (unlicensed)</td>
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<tr>
<td>Dispenser Delegate (unlicensed)</td>
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<tr>
<td>Interns</td>
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<tr>
<td>Medical Facility/Institution</td>
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<tr>
<td>Patient</td>
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<tr>
<td>Drug Treatment Provider</td>
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<tr>
<td><strong>Regulatory</strong></td>
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</tr>
<tr>
<td>Licensing/Regulatory Board</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>State Health Department</td>
<td>√</td>
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<tr>
<td>Peer Review Committee</td>
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<tr>
<td><strong>Law Enforcement</strong></td>
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<tr>
<td>Law Enforcement - Federal</td>
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<td>√</td>
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<tr>
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<tr>
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<tr>
<td>Prosecutorial Authority</td>
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<tr>
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<td>Marijuana Dispensary</td>
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