New York

PDMP acronym: I-STOP Region: East
Agency Responsible: New York State Department of Health/Bureau of Narcotic Enforcement
Agency Type: Department of Health
Website: http://www.health.state.ny.us/professionals/narcotic/
Email: narcotic@health.state.ny.us
Register Website: https://www.health.ny.gov/professionals/narcotic/electronic_data_transmission/
Query Website: https://commerce.health.state.ny.us/hcsportal/hcs_home.portal
Data Upload Website: https://commerce.health.state.ny.us
Statistics Website: https://www.health.ny.gov/statistics/opioid/
Opioid Dashboard Website:
Training Website:
Statute/Regulation Website: http://www.health.ny.gov/professionals/narcotic/laws_and_regulations/
Opioid Guidelines Website:

PDMP Contact Information

Contact Name: Vinciguerra, Joshua - Director Contact Name: Vacant - Deputy Director
Address: 150 Broadway, Riverview Center Address: 150 Broadway, Riverview Center
City State Zip: Albany NY 12204 City State Zip: Albany NY 12204
Telephone: 5184080248 Telephone: 5184080248
Fax: 5184020709 Fax: 5184020709
Email: narcotic@health.ny.gov Email:

State Population and Number of Registrants

State population: 20,215,751 DEA Prescriber total: 125,159 DEA Dispenser total: 5,513
## Data Transmitter(s)

<table>
<thead>
<tr>
<th>Correctional Facility Pharmacy</th>
<th>Dispensing Practitioner</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dispensing Veterinarians</td>
<td>Long Term Care Facility Pharmacy</td>
</tr>
<tr>
<td>Pharmacy (In-State)</td>
<td>Pharmacy (Mail Order In-State)</td>
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<tr>
<td>Pharmacy (Mail Order Out of State)</td>
<td>Pharmacy (Other Out of State)</td>
</tr>
</tbody>
</table>

## Substances Monitored

<table>
<thead>
<tr>
<th>Drugs of Concern</th>
<th>Medical Marijuana Dispensations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Schedules II - V</td>
<td></td>
</tr>
</tbody>
</table>

## Alternate Data Sources

<table>
<thead>
<tr>
<th>ARCOS Reports</th>
<th>Pharmaceutical Manufacturers/Distributors</th>
</tr>
</thead>
<tbody>
<tr>
<td>Substance Use Disorder Treatment Options</td>
<td></td>
</tr>
</tbody>
</table>

## Available Reports

<table>
<thead>
<tr>
<th>Annual PDMP Reports</th>
<th>Data Dashboards</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dispenser Reports to Law Enforcement</td>
<td>Licensee Reports to Licensing Board</td>
</tr>
<tr>
<td>Lost/Stolen Prescription Reports</td>
<td>MME Calculations</td>
</tr>
<tr>
<td>Patient Query Lists to Law Enforcement</td>
<td>Patient Query Lists to Licensing Boards</td>
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<tr>
<td>Patient Reports to Dispensers</td>
<td>Patient Reports to Law Enforcement</td>
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<tr>
<td>Patient Reports to Licensing Boards</td>
<td>Patient Reports to Prescribers</td>
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<tr>
<td>Prescriber Reports to Law Enforcement</td>
<td>Prescription Drug Combinations</td>
</tr>
<tr>
<td>Registrant Query Lists to Law Enforcement</td>
<td>Registrant Query Lists to Licensing Boards</td>
</tr>
<tr>
<td>Registrant Reports to Prescribers</td>
<td>Statewide Statistics</td>
</tr>
</tbody>
</table>
### Statistical Capabilities

- # of Prescription Filled
- # of Prescriptions Filled by CS Schedule
- # of Prescriptions Filled by Drug Class
- Statistics Filtered by Age or Age Range
- Statistics Filtered by Ethnicity or Race
- # of Authorized PDMP Users Enrolled
- # of Registrants in SDTC
- # of Registrants in SDTC by Practice/License Type
- # of Data Errors by Error Type
- # of Data Errors Corrected
- # of At-Risk Patients by Risk Factor
- Risk Factor Statistics by Time Frame
- # of Solicited Prescriber Reports
- # of Solicited Prescriber Reports by Requestor Type
- # of Unsolicited Prescriber Reports
- # of Unsolicited Prescriber Reports by Recipient Type
- # of Solicited Dispenser Reports
- # of Solicited Dispenser Reports by Requestor Type
- # of Unsolicited Dispenser Reports
- # of Unsolicited Dispenser Reports by Recipient Type
- # of Solicited Patient Reports
- # of Solicited Patient Reports by Requestor Type
- # of Unsolicited Patient Reports
- # of Unsolicited Patient Reports by Recipient Type
- # of dosage Units Dispensed
- # of Dosage Units Dispensed by CS Schedule
- # of Dosage Units Dispensed by Drug Class
- Statistics Filtered by Gender Identification
- Statistics Filtered by Geographic Location
- # of Prescribers Enrolled in PDMP by License Type
- # of Dispensers Enrolled in PDMP by License Type
- # of Prescribers Enrolled in PDMP by Specialty
- # of Unique Prescribers
- # of In-State Queries
- # of In-State Queries by Requestor Type
- # of Interstate Queries
- # of Interstate Queries by Requestor Type
- # of Positive Matches from Interstate Queries
- # of Solicited Statistical Reports by Requestor Type
- # of Unsolicited Statistical Reports by Recipient Type
- # of Unique Requestors for Solicited Reports
- # of Unique Requestors by Requestor Type (sol. reports)
- # of Unique Requestors for Unsolicited Reports
- # of Unique Recipients by Recipient Type (unsol. reports)

% Out-of-State Patients:
% Out-of-State Prescribers:

#### Data Analysis Resources Available:

- Data Analyst;
- Epidemiologist;
Authorized PDMP Users

Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Licensed/Solicited Out-of-State
Dispenser Delegates - Unlicensed/Solicited In-State
Dispenser Delegates - Unlicensed/Solicited Out-of-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Dispensers - Pharmacists/Unsolicited In-State
Drug Treatment Providers/Solicited In-State
Healthcare Facilities and Institutions/Solicited In-State
Healthcare Facilities and Institutions/Solicited Out-of-State
Healthcare Facilities and Institutions/Unsolicited In-State
Healthcare Facilities and Institutions/Unsolicited Out-of-State
Law Enforcement - Federal/Solicited In-State
Law Enforcement - Federal/Solicited Out-of-State
Law Enforcement - Local/Solicited In-State
Law Enforcement - Local/Solicited Out-of-State
Law Enforcement - State/Solicited In-State
Law Enforcement - State/Solicited Out-of-State
Marijuana Dispensaries/Solicited In-State
Medical Examiners and Coroners/Solicited In-State
Medical Interns/Solicited In-State
Medical Interns/Solicited Out-of-State
Medical Interns/Unsolicited In-State
Medical Interns/Unsolicited Out-of-State
Medical Residents/Solicited In-State
Medical Residents/Solicited Out-of-State
Medical Residents/Unsolicited In-State
Medical Residents/Unsolicited Out-of-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Patients/Solicited In-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Prescriber Delegates - Licensed/Solicited In-State
Prescriber Delegates - Licensed/Solicited Out-of-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited Out-of-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Prosecutors/Solicited In-State
Prosecutors/Solicited Out-of-State
Prosecutors/Unsolicited In-State
Regulatory and Licensing Boards/Solicited In-State
Regulatory and Licensing Boards/Solicited Out-of-State
Regulatory and Licensing Boards/Unsolicited In-State
State Health Departments/Solicited In-State
Engaged PDMP Users

Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Licensed/Solicited Out-of-State
Dispenser Delegates - Unlicensed/Solicited In-State
Dispenser Delegates - Unlicensed/Solicited Out-of-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Drug Treatment Providers/Solicited In-State
Law Enforcement - Federal/Solicited In-State
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Medical Interns/Solicited Out-of-State
Medical Residents/Solicited In-State
Medical Residents/Solicited Out-of-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Patients/Solicited In-State
Physician Assistants/Solicited In-State
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Prescriber Delegates - Licensed/Solicited In-State
Prescriber Delegates - Licensed/Solicited Out-of-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited Out-of-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prosecutors/Solicited In-State
Prosecutors/Solicited Out-of-State
Regulatory and Licensing Boards/Solicited In-State
Regulatory and Licensing Boards/Solicited Out-of-State
Regulatory and Licensing Boards/Unsolicited In-State
State Health Departments/Solicited In-State
**Budget**

**Total Annual Budget:**

- **PDMP Staff:** 6

**# of Employees - Operational:**

- **# of Employees - Technical:**
- **# of Employees - Analytical:**

**Funding Source(s)**

- **CDC Grant**

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**Technologies**

- **Data Collection Entity:** In-House
- **Data Collection Vendor name:** In-House
- **Data Storage Entity:** In-House
- **Data Storage Vendor name:** In-House
- **Report Generation Entity:** In-House
- **Report Generation Vendor name:** In-House
- **Data Access Method:** Web Portal/On-Line
- **Data Access Entity:** In-House
- **Data Access Vendor name:** In-House

- checkboxes:
  - State HIE in place
  - RxCheck Integration Allowed
  - VHA VISTA integration
  - HL7 FHIR connectivity
  - ASAP Version Utilized: 4.2A

**Patient Matching**

- Referential Matching
- Deterministic Matching
- Manual Matching
- Other Matching

A patient key is created using phonetic first and last names and other patient identifiers. All patients with the same key are considered a match. Different patient keys are considered as matching if associated patient info matches on street address and

- Access to patient matching algorithms

**Patient Matching Metrics Available:**

- Patient matching data elements:

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**Integration(s) Available**

- **Integration Type** | % Providers | Hub Used | Paid by Fed Gov't | Paid by State Gov't | Paid by Facility | Paid by Provider
- EHR Integration: <10%
- Data Downloaded/Stored: No
- Data Incorporated with Interstate Data: No

**Integration Standards:**

"we use PMIX for our one pilot integration site but have capacity to use PMIX v. 3.0; NCPDP v. 20170FHIR HL7"
<table>
<thead>
<tr>
<th>Interstate Data Sharing Partner(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alabama</td>
</tr>
<tr>
<td>Arkansas</td>
</tr>
<tr>
<td>Colorado</td>
</tr>
<tr>
<td>Connecticut</td>
</tr>
<tr>
<td>Connecticut RxCheck Hub</td>
</tr>
<tr>
<td>Delaware</td>
</tr>
<tr>
<td>District of Columbia</td>
</tr>
<tr>
<td>Florida</td>
</tr>
<tr>
<td>Georgia</td>
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<tr>
<td>Illinois</td>
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<tr>
<td>Indiana</td>
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<tr>
<td>Iowa</td>
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<tr>
<td>Kansas</td>
</tr>
<tr>
<td>Maine</td>
</tr>
<tr>
<td>Maryland</td>
</tr>
<tr>
<td>Maryland RxCheck Hub</td>
</tr>
<tr>
<td>Massachusetts</td>
</tr>
<tr>
<td>Michigan</td>
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<tr>
<td>Military Health Service</td>
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<tr>
<td>Minnesota</td>
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<tr>
<td>Mississippi</td>
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<tr>
<td>Montana</td>
</tr>
<tr>
<td>Nebraska</td>
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<tr>
<td>New Hampshire</td>
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<td>New Jersey</td>
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<td>North Carolina</td>
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<td>North Dakota</td>
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<td>Ohio</td>
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<tr>
<td>Pennsylvania</td>
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<tr>
<td>Puerto Rico</td>
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<tr>
<td>Rhode Island</td>
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<td>South Carolina</td>
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<td>Texas</td>
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<tr>
<td>Vermont</td>
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<tr>
<td>Virginia</td>
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<tr>
<td>Washington</td>
</tr>
<tr>
<td>West Virginia</td>
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<tr>
<td>Wisconsin</td>
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</tbody>
</table>
PDMP Policies

Enabling legislation enacted: 1972
PDMP operational: 1973
User access date (any method): 2010
User electronic access date:
Date received electronic data: 1999
Interstate sharing start date: 2016
Law/Statute citation: NY Public Health Law §§ 12-b; 3309-a; 3331 to 3334; 3337 to 3338; 3343; 3343a; 3370, 3371 to 3373; 3385; 3396
Regulation/Rule citation: NY Code Regs Title 10 §§ 80.67 to -.74; .78; .107; .108; .123
Pill Mill law/statute:
Pain Clinic law/statute:

Unauthorized Use or Disclosure: NY Public Health Law § 12-b; 3371
☐ Required Notification to consumers
☒ Mandated Use of Advisory Group
☒ Payment method captured
☐ Ability to id prescriber specialty
☐ Patient consent required before PDMP data release
☐ Ability for users to set thresholds for alert notices
☐ Ability for users to do user-led alert notices
☒ Mandatory E-Prescribing (EPCS)
☐ Compliance Process for Enrollment Mandates
☒ Compliance Process for Query Mandate
☐ ICD-10 Codes Collected
☐ Deceased Patient Field
☐ Patient ID Required to be Presented to Dispenser
☒ Ability to de-id data
☒ Authority to release de-id data
De-identified data sharing conditions:
De-Identified Data Retention Details:
☒ Retain De-Identified Data
Record Retention Details:
☒ Patient Notification of Breach
☐ Written Notification of Breach
Patient Breach Notification Method: Mail
Patient Breach Notification Method Other:
E-prescribing required substances: Controlled substances, non-controlled prescription medications
E-prescribing exemptions/waivers: Practitioner applies for a waiver from e-prescribing. They can apply online or via a hard copy form. The request must contain an explanation of the need for the waiver. This can include technical or economic hardship. That request is reviewed by our
Authority to enforce PDMP mandates: Regulatory/Licensing Board, PDMP

Data collection frequency: Daily or next business
☑ Requirement for zero-reporting
Frequency of zero-reporting: Every 2 weeks unless a wait
Data Retention Policy
Data Retention Time: 5 years
☐ All Information Purged
☒ Patient Information Purged
☐ Prescriber Information Purged
☐ Dispenser Information Purged
☐ Drug Information Purged
☐ HIPAA Covered Entity
☒ Identifiable Data to State Health Dept
☐ Identifiable Data to local Health Dept
☐ Certified as CMS Specialized Registry
☒ PDMP Disaster Recovery Plan

ID Types Reported:

PDMP Disaster Recovery Plan
Enrollment and Accounts

- Supervisor Review/audit of Delegate Accounts  Number of Delegates allows: not limited
- Auto Enroll with License Renewal or App

Enrollment Method:
Enrollees may obtain an account by applying electronically.

Practitioner IDs for PDMP Account:
- Licensing Board number;
- 2 Factor Authentication:
  2 factor authentication not offered

Criteria for Dispensers to get account to upload data
Must have an individual Health Commerce System (HCS) account to gain access to the PMP. If no professional license, an account issued by BNE.

Criteria for Prescriber delegates to get account:
Prescriber who has HCS account sets up delegate in HCS

Criteria for Dispenser delegates to get account:
Dispenser who has HCS account sets up delegate in HCS

Criteria for PAs to get account:
NY license as PA and has an HCS account

Criteria for Nurse Practitioners to get account:
NY license as NP and has an HCS account

Criteria for other users to get account:
Depending on the role they must be delegates of a licensed practitioner/prescriber and have an HCS account

Requirements for Patients to get PDMP Report:
Must request from the department

Requirements for others to get PDMP Report
Criteria for Online Non-healthcare accounts:
PDMP Data for Epidemiological Purposes:

- Law Enforcement On-line access to PDMP
- Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:
Law Enforcement Access Requirements: Subpoena
Use Mandates

Prescriber - Mandatory PDMP Use

Effective Date(s): August 27, 2013

Details:
Effective 8-27-13. Exceptions to the duty to consult prior to writing a controlled substance prescription in Schedules II-IV are: Practitioner administering a controlled substance; For use within an institutional dispenser; Emergency Department (if limited to a 5 day supply); Practitioner is unable to access in a timely manner (5 day supply); Consultation would adversely impact a patient’s medical condition; Hospice; Methadone programs; Technological failure of PMP or practitioner’s hardware; Practitioner has been granted a waiver by DOH based on technological limitations or exceptional circumstances not within practitioner’s control. Requires practitioners to consult the PMP prior to making or issuing a certification of a serious condition requiring the use of medical marijuana; Requires dispensers to check the PMP to ensure that a patient is not receiving greater than a 30 day supply. Effective 11-20-15, Residential treatment programs for individuals with substance use disorders must query the PDMP prior to admitting the patient to determine any and all medications which may be prescribed to the patient or prospective patient; requires chemical dependence outpatient and opioid treatment programs to query the PDMP prior to admitting a new patient to determine any and all medications which may be prescribed to a patient or prospective patient and requires that patients admitted to opioid medical maintenance have verified stability in the PDMP and that PDMP checks be performed as clinically indicated. Effective 4-3-20, every practitioner shall consult the PDMP prior to making or issuing a certification for medical cannabis, for the purpose of reviewing a patient’s controlled substance history.
Training on Enrollment and Use

Prescriber - Training Provided

PDMP Queries

☐ Ability to search for multiple patients in one query

Maximum number of patients in one query: 30

Patient Query Date Range: 1 year

Other Query Date Range:

Minimum data elements to query for healthcare user: First name, last name, DOB

Query by partial data elements by healthcare user:

Optional data elements to query by healthcare user:

Minimum data elements to query for non-healthcare user: First name, last name, DOB

Query by partial data elements by non-healthcare user:

Optional data elements to query by non-healthcare user:

Unsolicited Reports/Push Notifications

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Delivery method for unsolicited alerts/reports - LE:

Delivery method for unsolicited alerts/reports - Boards:

☐ Unsolicited alerts/reports to practitioners not enrolled in PDMP
### Veterinary Policies

**Data transmission frequency for Veterinarians:** Daily

**Criteria for veterinarian queries:** Vets report but do not search

- Veterinarian access to owner's prescription history
- Reporting Specifications
  - Reporting Method: Electronic
  - Reporting Specifications:
  - Data Fields:
    - Reporting Description: Veterinarians are required to report
  - Reporting Mandate Compliance
  - Reporting Compliance Details:
  - Reporting Mandate Actions:
  - Reporting Issues:
  - Reporting Misc Information:
- Enrollment Mandate Compliance
  - Enrollment Description: Veterinarians are exempted from enrollment as data requesters
  - Enrollment Mandate Compliance Details:
  - Enrollment Mandate Criteria:
- Query Mandate Compliance
  - Query Description: Veterinarians are not permitted by statute/rule/policy to query
  - Query Mandate Compliance Details:
  - Query Mandate Criteria:
PDMP Effectiveness Measures

Reduction in morphine milligram equivalents (MMEs) prescribed or dispensed
Reduction in number of fatal or non-fatal overdoses
Reduction in incidence of multiple provider episodes
Reduction in number of opioid prescriptions issued
Reduction in number of benzodiazepine prescriptions issued

Substance Use Disorder Activities

Tools or Resources

- MAT Services
- MOUD Services
- Harm Reduction Strategies
- Mental Health Assistance Services
- Employee Assistance Programs
- Housing Assistance Programs
- Re-Entry Programs

Other: NYS NYS Office of Addiction Services and Supports (OASAS)

Surveillance Activities

- Emergency Department Data
- Medical Examiner/Coroner Data

Data Sources for Surveillance Activities:

Initiated Actions

- Resources to Affected Areas
- Directed Training on Prescribing
- Prescription Drug Tool Kits
- Risk Evaluation/Analysis on PDMP Reports
- Referrals to SUD Organizations
- Referrals to OFR Teams

Other Actions: