

New York

PDMP Name: I-STOP **PDMP region:** East
Agency responsible: New York State Department of Health/Bureau of Narcotic Enforcement
Agency type: Department of Health
PDMP email: narcotic@health.state.ny.us
PDMP website: <http://www.health.state.ny.us/professionals/narcotic/>
Enrollment website: <http://www.health.ny.gov/forms/doh-4329.pdf>
Query website: https://commerce.health.state.ny.us/hcsportal/hcs_home.portal
Data upload website: <https://commerce.health.state.ny.us>
Statistics website:

Contact Information

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State Registrant Totals and Population

Statistics year:	2018	DEA registered prescribers:	120,161
State population:	19,862,512	DEA registered dispensers:	5,539

PDMP Available Reports

- Prescriber access to patient history
- Prescriber access to own history
- Prescriber access to patient query history
- Prescriber access to registrant query history

- Dispenser access to patient history
- Dispenser access to own history
- Dispenser access to patient query history
- Dispenser access to registrant query history

- Patient access to own query history

- Licensing Board access to patient history
- Licensing Board access to licensee history
- Licensing Board access to patient query history
- Licensing Board access to registrant query history

- Law Enforcement access to patient history
- Law Enforcement access to prescriber history
- Law Enforcement access to dispenser history
- Law Enforcement access to patient query history
- Law Enforcement access to registrant query history

- Summary data on/with patient reports
- Customized reports by user type
- Peer comparison reports
- PDMP annual reports
- Data dashboards
- Prescriber report cards

- Statewide statistics
- Lost/stolen prescription information
- Drug trend reports
- Geomapping of prescription data
- Evaluation Reports for Public, Legislature, etc.

Other types of reports:

Opioid Dashboard link:

2019	<h2>PDMP Budget and Staffing</h2>
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Source(s) of Funding

- State general fund
- Licensing fee
- Controlled substance registration fee
- Regulatory board fund
- Agreed settlement

- BJA grant
- CDC grant
- SAMHSA grant
- CMS grant
- NASCSA grant

- Other funding

Staff Category and Number of FTEs

Total Staff: **0.00**

of employees - Operational:

of employees - Technical:

of employees - Analytical/Epidemiological:

of employees - Other:

Policies and Procedures

Key PDMP Dates

Legislation enacted: 1972 PDMP operational: 04-01-1973
Initial user access: 2-17-10 On-line access: Electronic reporting: 1999

Relevant Statutes and Rules

Statute website: http://www.health.ny.gov/professionals/narcotic/laws_and_regulations/
Statute citation: NY Public Health Law §§ 12-b; 3309-a; 3331 to 3334; 3337 to 3338; 3343; 3343a; 3370, 3371 to 3373; 3385; 3396
Regulation citation: NY Code Regs Title 10 §§ 80.67 to - .74; .78; .107; .108; .123
Dr shopper statute: NY Stat. Ann. Penal Law § 178.26, Public Health Law § 3397
Pill mill statute:
Pain clinic statute:
Disclosure statute: NY Public Health Law § 12-b; 3371
Opioid guidelines:

Miscellaneous Capabilities and Policies

Data collection frequency: real time by statute/24 h Zero-reporting Zero-reporting frequency: Every 2 weeks unless a

- Mandatory E-Prescribing (EPCS)
- Payment method captured
- Patient ID required to be presented to dispenser
- Patient ID captured
- ID of person (other than patient) dropping off capture
- ID of person (other than patient) picking up capture
- Mandated use of advisory group
- ICD-10 Codes Collected
- Deceased Patient Field
- HIPAA Covered Entity
- Certified as CMS Specialized Registry
- User-friendly web interface
- Data analysis software
- Online user guides/educational materials
- Ability to identify prescriber specialty
- Ability for users to set thresholds for alert notices
- Ability for users to do user-led alert notices
- Unsolicited alerts to practitioners not enrolled in PDMP
- Ability to search for multiple patients in one query
- Maximum number of patients in one query: 30
- Supervisor review/audit of delegate accounts
- Number of delegates allowed: not limited
- Ability to de-identify data
- Authority to release de-identified data
- Authority to release for epidemiological or educational purposes
- Engaged in release for epidemiological or educational purposes
- Identifiable Data to State Health Department
- Identifiable Data to local Health Department
- Patient consent required before data release
- Patient Notification of Breach
- Required notification to patients of Query
- Patient Breach Notification Written
- Patient Breach Notification Method: Mail
- PDMP Effectiveness Study

Frequency unsolicited alerts/reports generated:

Delivery method for unsolicited alerts/reports:

Data Retention

- Data retention policy Prescriber information purged Patient information purged Retain de-identified data
 All information purged Dispenser information purged Drug information purged Retention time: 5 years

Record retention details:

De-Identified data retention details:

PDMP User Training

Training website:

Available Training

- Prescriber Attorney General
 Dispenser Patient
 Law Enforcement Researcher
 Regulatory Board

Training Required Before PDMP Use

- Prescriber Attorney General
 Dispenser Patient
 Law Enforcement Researcher
 Regulatory Board Other
-

PDMP Accounts

- Automatic enrollment with license renewal or application

Enrollment method: [Enrollees may obtain an account by applying electronically.](#)

Prescriber account criteria: Must have an individual Health Commerce System (HCS) account to gain access to the PMP

Dispenser account criteria: Must have an individual Health Commerce System (HCS) account to gain access to the PMP

Regulatory Board account criteria:

Law Enforcement account criteria:

Law Enforcement Requirements

- Active investigation Probable cause
 Subpoena Search warrant
 Court order/approval Proper need/upon request

Other:

Law Enforcement Access Methods

- On-line/web portal
 Written request
Other method:
-

Criteria to Query PDMP

Minimum data elements: [First name, last name, DOB](#)

Partial data elements:

Optional data elements:

Patient access to query list details:

Requirements for patients to get own report: [Must request from the department](#)

Data Monitored or Accessible

- Schedule II controlled substances
- Schedule III controlled substances
- Schedule IV controlled substances
- Schedule V controlled substances

- Authority to monitor other substances
Chorionic Gonadotropin (HCG)
- Removed from monitoring

Drug-related Arrests

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Drug-related Convictions

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Child Welfare Case Information

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Criminal Court Case Information

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Drug Court Case Information

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Medical Marijuana Dispensings

- Data on PDMP Report Data Collectors: Health Department
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Naloxone/Narcan Administrations

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Naloxone/Narcan Dispensings

- Data on PDMP Report Data Collectors:
- Monitor Trends/Inform Policy Data Reporters:
- Reciprocity Data Location:

Data Monitored or Accessible (cont'd)

Pharmaceutical Manufacturers/Distributors Sales

- Data on PDMP Report Data Collectors:
 Monitor Trends/Inform Policy Data Reporters:
 Reciprocity Data Location:

Sales data access method:

Frequency of sales data upload:

Sales data elements available:

Overdoses - Fatal Information

- Data on PDMP Report Data Collectors:
 Monitor Trends/Inform Policy Data Reporters:
 Reciprocity Data Location:

Overdoses - Non-fatal Information

- Data on PDMP Report Data Collectors:
 Monitor Trends/Inform Policy Data Reporters:
 Reciprocity Data Location:

Other Information Description:

- Data on PDMP Report Data Collectors:
 Monitor Trends/Inform Policy Data Reporters:
 Reciprocity Data Location:

Capture/access registrant's disciplinary history/status

Capture/access patient lock-in information

Capture/access lost/stolen prescription drug reports

ARCOS data available

Technological Capabilities

ASAP Versions Accepted

- ASAP 4-1/2010
- ASAP 4-2/2011
- ASAP 4-2a/2016

Data Transmission Methods Allowed

- Electronic data transmission
- Fax data transmission
- Other
- Mail data transmission
- Media data transmission

Required Data Transmitters

- Pharmacy in-state
- Pharmacy in-state/mail order transmitters
- Pharmacy out-state/mail order
- Pharmacy out-state/other
- Dispensing doctor
- Veterinarian
- Long-term Care Facility Pharmacy
- Correctional Facility Pharmacy
- Department of Defense
- Veterans Administration
- Indian Health Services
- Tribal Pharmacy

Other:

Data Collection, Storage, Generation and Access

Data collection entity: In-House Vendor name: In-House
Data storage entity: In-House Vendor name: In-House
Report generation entity: In-House Vendor name: In-House
Data access entity: In-House Vendor name: In-House
Data access method: Web Portal/On-Line

Interstate Data Sharing

- | Method | Jurisdictions Engaged |
|---|--|
| <input checked="" type="checkbox"/> PMPi Hub | AL, AR, CT, DE, DC, IL, IN, IA, KS, ME, MA, MI, MN, NH, NJ, NC, ND, OH, PA, RI, SC, TX, VT, VA, WV, WI |
| <input checked="" type="checkbox"/> RxCheck Hub | |
| <input type="checkbox"/> HIE method | |

Data Integration

- | Method | Paid By | Cost Model | # of Integrations |
|---|---------|--------------------------|-------------------|
| <input type="checkbox"/> via Health Information Exchange | | | |
| <input type="checkbox"/> via Electronic Health Record | | | |
| <input type="checkbox"/> via Pharmacy Dispensing System | | | |
| Other: | | | |
| <input type="checkbox"/> Integrations through PMPi Hub | | Clinicians % Integrated: | |
| <input type="checkbox"/> Integrations through RxCheck Hub | | Pharmacies % Integrated: | |
| <input type="checkbox"/> Integrations through Other Hub | | | |

Patient Matching

- Exact match
 - Probablistic match
 - Referential match
 - Deterministic match
 - Manual match
 - Other match method
 - Access to patient matching algorithms
- Matching data elements:
Matching metrics available:
Internal matching barriers:
Interstate matching barriers:
- A patient key is created using phonetic first and last names and other patient identifiers. All patients with the same key are considered a match. Different patient keys are considered as matching if associated patient info matches on street address and other patient identifiers. Patient keys are maintained and allow for indexed searches and a rapid response time.

Requestors and Reports Authorized to Generate

	Requestor Type	Solicited Reports		Unsolicited Reports	
		In-State	Out-of-State	In-State	Out-of-State
Healthcare	Prescriber	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Dispenser/Business (i.e., pharmacy)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser/Person (i.e., pharmacist)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Midlevel - Physician Assistant	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Midlevel - Nurse Practitioner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Prescriber Delegate (licensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser Delegate (licensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Prescriber Delegate (unlicensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser Delegate (unlicensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medical Residents	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Interns	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Medical Facility/Institution	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
	Patient	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Drug Treatment Provider	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Regulatory	Licensing/Regulatory Board	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	State Health Department	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Peer Review Committee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Law Enforcement	Law Enforcement - Federal	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Law Enforcement - State	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Law Enforcement - Local	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Prosecutorial Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Correctional Supervision	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medical Examiner/Coroner	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Drug Court	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public and Private Insurers	Medicaid Fraud and Abuse	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medicaid Drug Utilization and Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medicare	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Workers Compensation - State	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Workers Compensation - Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Third-party Payer	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	Researchers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Marijuana Dispensary	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other PDMP	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Requestors and Reports Being Generated

	Requestor Type	Solicited Reports		Unsolicited Reports	
		In-State	Out-of-State	In-State	Out-of-State
Healthcare	Prescriber	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser/Business (i.e., pharmacy)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser/Person (i.e., pharmacist)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Midlevel - Physician Assistant	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Midlevel - Nurse Practitioner	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Prescriber Delegate (licensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser Delegate (licensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Prescriber Delegate (unlicensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Dispenser Delegate (unlicensed)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medical Residents	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Interns	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medical Facility/Institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Patient	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Drug Treatment Provider	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Regulatory	Licensing/Regulatory Board	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	State Health Department	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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	Prosecutorial Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Correctional Supervision	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medical Examiner/Coroner	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Public and Private Insurers	Medicaid Fraud and Abuse	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medicaid Drug Utilization and Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Medicare	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Workers Compensation - State	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Workers Compensation - Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Third-party Payer	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	Researchers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Marijuana Dispensary	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other PDMP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>