Utah

PDMP acronym: UT CSDB Region: West
Agency Responsible: Utah Division of Occupational and Professional Licensing, Utah Department of Commerce
Agency Type: Professional Licensing Agency
Website: http://www.dopl.utah.gov/programs/csdb/
Email: csdb@utah.gov
Register Website: https://csd.utah.gov
Query Website: https://csd.utah.gov
Data Upload Website: sftp-csdb.utah.gov
Statistics Website: http://www.commerce.utah.gov/reports.html
Opioid Dashboard Website:
Training Website: https://secure.commerce.utah.gov/csd/index.html
Statute/Regulation Website: http://www.dopl.utah.gov/programs/csdb/
Opioid Guidelines Website:

PDMP Contact Information

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Email: ckitchens@utah.gov

Contact Name: Henrie, Jeff - CSD Administrator
Address: 160 E 300 S
City State Zip: Salt Lake City UT 84114-6741
Telephone: 8015306046
Fax: 8015306315
Email: jahenrie@utah.gov

State Population and Number of Registrants

State population: 3,422,487 DEA Prescriber total: 18,303 DEA Dispenser total: 533
### Data Transmitter(s)
- Dispensing Practitioner
- Pharmacy (In-State)
- Pharmacy (Mail Order Out of State)
- Veterans Administration
- Indian Health Services
- Pharmacy (Mail Order In-State)
- Pharmacy (Other Out of State)

### Substances Monitored
- Drugs of Concern
- Schedules II - V

### Alternate Data Sources
- ARCOS Reports
- Drug-related Convictions
- Naloxone/Narcan Administrations
- Overdoses - Fatal
- Criminal Court Case Information
- Medical Marijuana Dispensations
- Naloxone/Narcan Dispensations
- Overdoses - Non-fatal

### Available Reports
- Clinical Alerts
- Drug Trend Reports
- Licensee Reports to Licensing Board
- Multiple Provider Episode Reports
- Patient Query Lists to Dispensers
- Patient Query Lists to Patients
- Patient Reports to Dispensers
- Patient Reports to Licensing Boards
- Patient Reports with Summary Data
- Prescriber Report Cards
- Prescription Drug Combinations
- Registrant Reports to Prescribers
- Statewide Statistics
- Data Dashboards
- Geomapping of Prescription Data
- MME Calculations
- Overdose Reports
- Patient Query Lists to Licensing Boards
- Patient Query Lists to Prescribers
- Patient Reports to Law Enforcement
- Patient Reports to Prescribers
- PDMP Evaluation Reports
- Prescriber Reports to Law Enforcement
- Registrant Query Lists to Licensing Boards
- Risk Scores
<table>
<thead>
<tr>
<th>Statistical Capabilities</th>
</tr>
</thead>
<tbody>
<tr>
<td># of Prescription Filled</td>
</tr>
<tr>
<td># of Prescriptions Filled by CS Schedule</td>
</tr>
<tr>
<td># of Prescriptions Filled by Drug Class</td>
</tr>
<tr>
<td>Statistics Filtered by Age or Age Range</td>
</tr>
<tr>
<td>Statistics Filtered by Ethnicity or Race</td>
</tr>
<tr>
<td># of Authorized PDMP Users Enrolled</td>
</tr>
<tr>
<td># of Registrants in SDTC</td>
</tr>
<tr>
<td># of Registrants in SDTC by Practice/License Type</td>
</tr>
<tr>
<td># of Data Errors by Error Type</td>
</tr>
<tr>
<td># of Data Errors Corrected</td>
</tr>
<tr>
<td># of At-Risk Patients by Risk Factor</td>
</tr>
<tr>
<td>Risk Factor Statistics by Time Frame</td>
</tr>
<tr>
<td># of Solicited Prescriber Reports</td>
</tr>
<tr>
<td># of Solicited Prescriber Reports by Requestor Type</td>
</tr>
<tr>
<td># of Unsolicited Prescriber Reports</td>
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<tr>
<td># of Unsolicited Prescriber Reports by Requestor Type</td>
</tr>
<tr>
<td># of Solicited Dispenser Reports</td>
</tr>
<tr>
<td># of Solicited Dispenser Reports by Requestor Type</td>
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<tr>
<td># of Unsolicited Dispenser Reports</td>
</tr>
<tr>
<td># of Unsolicited Dispenser Reports by Requestor Type</td>
</tr>
<tr>
<td># of Solicited Patient Reports</td>
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<tr>
<td># of Solicited Patient Reports by Requestor Type</td>
</tr>
<tr>
<td># of Unsolicited Patient Reports</td>
</tr>
<tr>
<td># of Unsolicited Patient Reports by Requestor Type</td>
</tr>
<tr>
<td># of Dosage Units Dispensed</td>
</tr>
<tr>
<td># of Dosage Units Dispensed by CS Schedule</td>
</tr>
<tr>
<td># of Dosage Units Dispensed by Drug Class</td>
</tr>
<tr>
<td>Statistics Filtered by Gender Identification</td>
</tr>
<tr>
<td>Statistics Filtered by Geographic Location</td>
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<tr>
<td># of Prescribers Enrolled in PDMP by License Type</td>
</tr>
<tr>
<td># of Dispensers Enrolled in PDMP by License Type</td>
</tr>
<tr>
<td># of Prescribers Enrolled in PDMP by Specialty</td>
</tr>
<tr>
<td># of Unique Prescribers</td>
</tr>
<tr>
<td># of In-State Queries</td>
</tr>
<tr>
<td># of In-State Queries by Requestor Type</td>
</tr>
<tr>
<td># of Interstate Queries</td>
</tr>
<tr>
<td># of Interstate Queries by Requestor Type</td>
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<tr>
<td># of Positive Matches from Interstate Queries</td>
</tr>
<tr>
<td># of Solicited Statistical Reports by Requestor Type</td>
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<tr>
<td># of Unsolicited Statistical Reports by Recipient Type</td>
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<tr>
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<td># of Unique Requestors by Requestor Type (sol. reports)</td>
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<tr>
<td># of Unique Requestors for Unsolicited Reports</td>
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<tr>
<td># of Unique Recipients by Recipient Type (unsol. reports)</td>
</tr>
</tbody>
</table>

% Out-of-State Patients: <5%
% Out-of-State Prescribers: <5%

Data Analysis Resources Available:

Data Analyst; Epidemiologist;
Authorized PDMP Users

Correctional Supervision/Solicited In-State
Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Licensed/Solicited Out-of-State
Dispenser Delegates - Licensed/Unsolicited In-State
Dispenser Delegates - Licensed/Unsolicited Out-of-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Solicited Out-of-State
Dispensers - Pharmacists/Unsolicited In-State
Drug Treatment Providers/Solicited In-State
Law Enforcement - Federal/Solicited In-State
Law Enforcement - Federal/Solicited Out-of-State
Law Enforcement - Federal/Unsolicited In-State
Law Enforcement - Local/Solicited In-State
Law Enforcement - Local/Unsolicited In-State
Law Enforcement - State/Solicited In-State
Law Enforcement - State/Unsolicited In-State
Marijuana Dispensaries/Solicited In-State
Medicaid Drug Utilization and Review/Solicited In-State
Medicaid Fraud and Abuse/Solicited In-State
Medicaid Fraud and Abuse/Unsolicited In-State
Medical Examiners and Coroners/Solicited In-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Patients/Solicited In-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Physician Assistants/Unsolicited Out-of-State
Prescriber Delegates - Licensed/Solicited In-State
Prescriber Delegates - Licensed/Solicited Out-of-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited Out-of-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Prescribers/Unsolicited Out-of-State
Prosecutors/Solicited In-State
Regulatory and Licensing Boards/Solicited In-State
Researchers/Solicited In-State
State Health Departments/Solicited In-State
Workers Compensation State Insurance/Solicited In-State
Workers Compensation State/Solicited In-State
Engaged PDMP Users

Dispenser Delegates - Licensed/Solicited In-State
Dispenser Delegates - Licensed/Solicited Out-of-State
Dispenser Delegates - Licensed/Unsolicited In-State
Dispenser Delegates - Licensed/Unsolicited Out-of-State
Dispensers - Pharmacists/Solicited In-State
Dispensers - Pharmacists/Unsolicited In-State
Law Enforcement - Federal/Solicited In-State
Law Enforcement - Federal/Unsolicited In-State
Law Enforcement - Local/Solicited In-State
Law Enforcement - Local/Unsolicited In-State
Law Enforcement - State/Solicited In-State
Law Enforcement - State/Unsolicited In-State
Marijuana Dispensaries/Solicited In-State
Medicaid Fraud and Abuse/Solicited In-State
Medicaid Fraud and Abuse/Unsolicited In-State
Nurse Practitioners/Solicited In-State
Nurse Practitioners/Solicited Out-of-State
Nurse Practitioners/Unsolicited In-State
Nurse Practitioners/Unsolicited Out-of-State
Physician Assistants/Solicited In-State
Physician Assistants/Solicited Out-of-State
Physician Assistants/Unsolicited In-State
Physician Assistants/Unsolicited Out-of-State
Prescriber Delegates - Unlicensed/Solicited In-State
Prescriber Delegates - Unlicensed/Solicited Out-of-State
Prescribers/Solicited In-State
Prescribers/Solicited Out-of-State
Prescribers/Unsolicited In-State
Regulatory and Licensing Boards/Solicited In-State
### Budget

<table>
<thead>
<tr>
<th>Total Annual Budget:</th>
<th>$1,700,000.00</th>
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<tbody>
<tr>
<td>PDMP Staff:</td>
<td>17</td>
</tr>
<tr>
<td># of Employees - Operational:</td>
<td>6</td>
</tr>
<tr>
<td># of Employees - Technical:</td>
<td>4</td>
</tr>
<tr>
<td># of Employees - Analytical:</td>
<td>5</td>
</tr>
</tbody>
</table>

### Funding Source(s)

- BJA Grant
- Controlled Substance Registration Fee
- Licensing Fee

### Technologies

- Data Collection Entity: Vendor
- Data Collection Vendor name: Leap Orbit
- Data Storage Entity: In-House
- Data Storage Vendor name: In-House
- Report Generation Entity: In-House
- Report Generation Vendor name: In-House
- Data Access Method: Web Portal/On-Line
- Data Access Entity: In-House
- Data Access Vendor name: In-House
- ASAP Version Utilized: 4.2
- State HIE in place
- RxCheck Integration Allowed
- VHA VISTA integration
- HL7 FHIR connectivity

### Patient Matching

- Referential Matching
- Deterministic Matching
- Manual Matching
- Exact Matching
- Probabilistic Matching
- Probabilistic and Manual Matching

- Access to patient matching algorithms

Patient Matching Metrics Available:

- Patient identification (i.e., driver license, social security number), Patient last name, Patient first name, Patient middle name or initial, Patient address, Patient date of birth, Patient gender, We are in the building stage of patient matcher, however

### Integration(s) Available

#### EHR Integration

- % Providers: 25-49%
- Hub Used: RxCheck & PMPi
- Paid by Fed Gov't
- Paid by State Gov't
- Paid by Facility
- Paid by Provider
- Data Manipulated for Analysis
- Multistate Integrations Allowed
- Integration Standards: PMIX 2 NIEM 4 ASAP 4.2

<table>
<thead>
<tr>
<th>Integration Type</th>
<th>% Providers</th>
<th>Hub Used</th>
<th>Paid by Fed Gov't</th>
<th>Paid by State Gov't</th>
<th>Paid by Facility</th>
<th>Paid by Provider</th>
<th>Data Manipulated for Analysis</th>
<th>Multistate Integrations Allowed</th>
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</thead>
<tbody>
<tr>
<td>EHR Integration</td>
<td>25-49%</td>
<td>RxCheck &amp; PMPi</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>

#### HIE Integration

- % Providers: 0-24%
- Hub Used: RxCheck
- Paid by Fed Gov't
- Paid by State Gov't
- Paid by Facility
- Paid by Provider
- Data Manipulated for Analysis
- Multistate Integrations Allowed

<table>
<thead>
<tr>
<th>Integration Type</th>
<th>% Providers</th>
<th>Hub Used</th>
<th>Paid by Fed Gov't</th>
<th>Paid by State Gov't</th>
<th>Paid by Facility</th>
<th>Paid by Provider</th>
<th>Data Manipulated for Analysis</th>
<th>Multistate Integrations Allowed</th>
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</thead>
<tbody>
<tr>
<td>HIE Integration</td>
<td>0-24%</td>
<td>RxCheck</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
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<tr>
<td>Integration Type</td>
<td>% Providers</td>
<td>Hub Used</td>
<td>Paid by Fed Gov't</td>
<td>Paid by State Gov't</td>
<td>Paid by Facility</td>
<td>Paid by Provider</td>
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<tr>
<td>PDS Integration</td>
<td>0-24%</td>
<td>RxCheck</td>
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<td>✓ Data Manipulated for Analysis</td>
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<td>□ Multistate Integrations Allowed</td>
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</tr>
</tbody>
</table>

Integration Standards: PMIX 2 NIEM 4 ASAP 4.2
<table>
<thead>
<tr>
<th>Interstate Data Sharing Partner(s)</th>
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</thead>
<tbody>
<tr>
<td>Alabama</td>
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<tr>
<td>Alabama</td>
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<tr>
<td>Arizona</td>
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<tr>
<td>Arkansas</td>
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<tr>
<td>Colorado</td>
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<tr>
<td>Colorado</td>
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<tr>
<td>Connecticut</td>
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<tr>
<td>Idaho</td>
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<tr>
<td>Illinois</td>
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<tr>
<td>Indiana</td>
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<tr>
<td>Kansas</td>
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<tr>
<td>Kentucky</td>
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<tr>
<td>Maryland</td>
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<tr>
<td>Nebraska</td>
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<tr>
<td>Nevada</td>
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<td>Nevada</td>
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<tr>
<td>New Mexico</td>
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<tr>
<td>North Dakota</td>
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<tr>
<td>Pennsylvania</td>
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<tr>
<td>South Carolina</td>
</tr>
<tr>
<td>South Dakota</td>
</tr>
<tr>
<td>Washington</td>
</tr>
<tr>
<td>Wisconsin</td>
</tr>
</tbody>
</table>
PDMP Policies

Enabling legislation enacted: 1995
PDMP operational: 1996
User access date (any method): 1997
User electronic access date: 1997
Date received electronic data: 1996
Interstate sharing start date: 2012
Regulation/Rule citation: UT Admin Code 156-37-609 to -610
Dr Shopper law/statute: Title 58, Chapter 37.8(3)(a)(ii)

Pill Mill law/statute:
Pain Clinic law/statute:
Unauthorized Use or Disclosure: UT Code §58-37f-601
Enacted 42 CFR Part 2: 42 CFR Part 2 Details:

- Required Notification to consumers
- Mandated Use of Advisory Group
- Payment method captured
- Ability to id prescriber specialty
- Patient consent required before PDMP data release
- Ability for users to set thresholds for alert notices
- Ability for users to do user-led alert notices
- Mandatory E-Prescribing (EPCS)
- Compliance Process for Enrollment Mandates
- Compliance Process for Query Mandate
- ICD-10 Codes Collected
- Deceased Patient Field
- Engaged in Academic Detailing
- Patient ID Required to be Presented to Dispenser
- Ability to de-id data
- Authority to release de-id data

De-identified data sharing conditions:
De-Identified Data Retention Details:
- Retain De-Identified Data

Record Retention Details:
- Patient Notification of Breach
- Written Notification of Breach

Patient Breach Notification Method: Other
Patient Breach Notification Method Other: IndexUtah Code Title 13Commerce and Trade Chapter 44Protection of Personal Information Act

E-prescribing required substances: Controlled substances
Authority to enforce PDMP mandates: Regulatory/Licensing Board
Supervisor Review/audit of Delegate Accounts  Number of Delegates allows:  3 for prescribers; 5 for pharmacists

Auto Enroll with License Renewal or App

Enrollment Method:
Online enrollment

Practitioner IDs for PDMP Account:
DEA controlled substance registration number; Licensing Board number; National Provider Identifier;

2 Factor Authentication:
Required to use 2 factor authentication

Criteria for Dispensers to get account to upload data
sftp account folder, login, password

Criteria for Prescriber delegates to get account:
written practitioner notification, email address, background check, DL#, birth date

Criteria for Dispenser delegates to get account:
PIC written notification, email address, state license

Criteria for PAs to get account:
email address, a DEA Number, state controlled substance license, birth-date, and last four digits of their SS#.

Criteria for Nurse Practitioners to get account:
email address, a DEA Number, state controlled substance license, birth-date, and last four digits of their SS#.

Criteria for other users to get account:
Defined by 58-37f-301

Requirements for Patients to get PDMP Report:
government issued id, personal appearance, notarized request

Requirements for others to get PDMP Report

Criteria for Online Non-healthcare accounts:
Licensing/regulatory Board investigators, Medical Examiners/coroners, Pardons or Parole officers, Medicaid/Medicare Fraud investigators

PDMP Data for Epidemiological Purposes:  Authority to Release

 Law Enforcement On-line access to PDMP    Law Enforcement Written Request access to PDMP

Law Enforcement Access Method:
Search Warrant

PDMP users validated with DEA Registration File    PDMP Access without DEA Number
PDMP users validated with NPI File
PDMP users validated with State Licensing Board File

Validation Process for users without DEA #:
Enrollment Mandates

Prescriber - Mandatory PDMP Enrollment

Effective Date(s): May 11, 2010

Details:
All prescribers with a State C/S License must test and register (enroll) to access the PDMP

Use Mandates

Dispenser - Mandatory PDMP Use
Prescriber - Mandatory PDMP Use

Effective Date(s): May 10, 2016

Details:
A prescriber shall query the PMP prior to the first time the prescriber prescribes a Sch. II or III opioid for a patient unless: 1) the prescription is for 3 days or less; 2) the prescriber has prior knowledge of the patient’s prescription history based on the prescriber’s review of the patient’s health record; or 3) the prescription is post-surgical and the total duration of opioid is for 30 days or less. Provides that if the prescriber is repeatedly prescribing a Sch. II or III opioid to a patient, the prescriber shall periodically query the PMP or other similar records of controlled substances the patient has filled. §58-37f-303 provides that a prescriber or dispenser of an opioid for outpatient usage shall diligently access and review the database. If a dispenser’s review of the system indicates that a patient seeking an opioid may be obtaining opioids in quantities or frequencies inconsistent with generally recognized standards, the dispenser shall attempt to contact the prescriber to obtain the prescriber’s informed, current, and professional opinion as to whether the prescribed opioid is medically justified. Any qualified medical provider who recommends or renews a recommendation for medical marijuana to review any record related to the patient in the state’s electronic verification system and the controlled substance database.
Training on Enrollment and Use

<table>
<thead>
<tr>
<th>Prescriber - Training Provided</th>
<th>In-person; Online video; Telephone</th>
</tr>
</thead>
</table>

## PDMP Queries

- **Ability to search for multiple patients in one query**: Yes
- **Maximum number of patients in one query**: 1
- **Patient Query Date Range**: other
- **Other Query Date Range**: 5 years
- **Minimum data elements to query for healthcare user**: first or last name
- **Query by partial data elements by healthcare user**: 3 characters
- **Optional data elements to query by healthcare user**: address
- **Minimum data elements to query for non-healthcare user**: first or last name
- **Query by partial data elements by non-healthcare user**: 3 characters
- **Optional data elements to query by non-healthcare user**: address

## Unsolicited Reports/Push Notifications

- **Frequency unsolicited alerts/reports generated**: as resources allow
- **Delivery method for unsolicited alerts/reports**: Report mailed, Notification sent via email
- **Delivery method for unsolicited alerts/reports - LE**: Report sent via email
- **Delivery method for unsolicited alerts/reports - Boards**: Report sent via email
- **Unsolicited alerts/reports to practitioners not enrolled in PDMP**: Yes
Veterinary Policies

Data transmission frequency for Veterinarians:
Criteria for veterinarian queries: Animal Owner's Name, Animal Owner's Address, Date of Birth

☑ Veterinarian access to owner's prescription history
☐ Reporting Specifications

Reporting Method:
Reporting Specifications:
Data Fields:
Reporting Description:
☐ Reporting Mandate Compliance
Reporting Compliance Details:
Reporting Mandate Actions:
Reporting Issues:
 Veterinarian does not have animal owner's information, Veterinarian's software does not allow electronic submission of data, Veterinarian's software is not able to report data in ASAP format,

Reporting Misc Information:
☐ Enrollment Mandate Compliance

Enrollment Description:
 Veterinarians can voluntarily enroll as data requesters with the PDMP and do enroll as data requestors

Enrollment Mandate Compliance Details:
Enrollment Mandate Criteria:
☐ Query Mandate Compliance

Query Description:
 Veterinarians can voluntarily query, but do not query

Query Mandate Compliance Details:
Query Mandate Criteria:
PDMP Effectiveness Measures

Substance Use Disorder Activities

Tools or Resources
- [ ] MAT Services
- [ ] MOUD Services
- [ ] Harm Reduction Strategies
- [ ] Mental Health Assistance Services
- [ ] Employee Assistance Programs
- [ ] Housing Assistance Programs
- [ ] Re-Entry Programs
- Other: Medical Cannabis

Surveillance Activities
- [x] Emergency Department Data
- [x] Medical Examiner/Coroner Data

Data Sources for Surveillance Activities:

Initiated Actions
- [ ] Resources to Affected Areas
- [x] Directed Training on Prescribing
- [ ] Prescription Drug Tool Kits
- [ ] Risk Evaluation/Analysis on PDMP Reports
- [ ] Referrals to SUD Organizations
- [ ] Referrals to OFR Teams
- Other Actions: